



एनएचपीसी लिमिटेड

(भारत सरकार का उद्यम)

NHPC Limited

(A Govt. of India Enterprise)

फोन/Phone: 0129-2278018

संदर्भ सं./Ref. No. NH/CS/199

Manager/ मैनेजर, Listing Department/ लिस्टिंग विभाग, M/s BSE Limited/ बीएसई लिमिटेड, Phiroze Jeejeebhoy Towers / फिरोज जीजीभोय टावर्स, Dalal Street/ दलाल स्ट्रीट, Mumbai/ मुंबई -400 001 Scrip Code: 533098	General Manager/ महाप्रबंधक, Listing Department/ लिस्टिंग विभाग, M/s National Stock Exchange of India Limited/ नेशनल स्टॉक एक्सचेंज ऑफ इंडिया लिमिटेड, Exchange Plaza, / एक्सचेंज प्लाजा, Bandra Kurla Complex/ बान्द्रा कुर्ला कॉम्प्लेक्स, Bandra (E)/ बान्द्रा (ई), Mumbai/ मुंबई - 400 051 Scrip Code: NHPC
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ISIN No. INE848E01016

Sub: Trade in equity shares of NHPC by Designated Person in contravention to NHPC Code of Conduct formulated under SEBI (PIT) Regulations, 2015

विषय: सेबी (पीआईटी) विनियम, 2015 के तहत तैयार की गई एनएचपीसी की आचार संहिता के उल्लंघन में नामित व्यक्ति द्वारा एनएचपीसी के इक्विटी शेयरों में व्यापार

Sirs/महोदय,

It is to inform that following Designated Person (DP) had traded in equity shares of NHPC in contravention to NHPC Code of Conduct formulated under SEBI (PIT) Regulations, 2015:

S. No.	Name & Designation	Action taken by NHPC	Reason for taking stated action
1	Shri Sandeep Puri, Ex-General Manager (IT&C)	A penalty of Rs.200/- was imposed along with issuance of cautionary letter to remain careful in future, while dealing in NHPC securities and to comply with the Code in true letter & spirit.	The above penalty was imposed due to violation of contra trade provision & continual disclosure norms of the Code. Further, DP has declared that the transaction was executed inadvertently and he was not in possession of any UPSI at the time of entering into the transaction
2	Shri Gursharan Singh, General Manager (Electrical)	A penalty of Rs.3,870/- was imposed along with issuance of cautionary letter to remain careful in future, while dealing in NHPC securities and to comply with the Code in true letter & spirit.	The above penalty was imposed due to violation of contra trade provision & continual disclosure norms of the Code. Further, DP has declared that the transaction was executed inadvertently and he was not in possession of any UPSI at the time of entering into the transaction.
3	Shri Chitta Ranjan Das, Group General Manager (Electrical)	A penalty of Rs.3,000/- was imposed along with issuance of cautionary letter to remain careful in future, while dealing in NHPC securities and to comply with the Code in true letter & spirit.	The above penalty was imposed due to violation of continual disclosure norms of the Code.

The disclosure in compliance to SEBI PIT Regulations and SEBI Circular dated 23.07.2020 is enclosed herewith at **Annexure-I**.

This is for your information and record.

धन्यवाद।

भवदीय,

संगलन: उपरोक्तानुसार

(रूपा देव)
कंपनी सचिव

पंजीकृत कार्यालय : एनएचपीसी ऑफिस कॉम्प्लेक्स, सेक्टर-33, फरीदाबाद - 121003, हरियाणा

Regd. Office : NHPC Office Complex, Sector - 33, Faridabad - 121003, Haryana

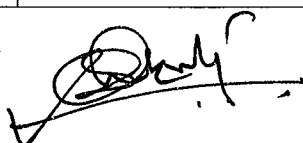
CIN:L40101HR1975GOI032564; Website: www.nhpcindia.com

E-mail : webmaster@nhpc.nic.in; EPABX No. : 0129-2588110 / 2588500

बिजली से संबंधित शिकायतों के लिए 1912 डायल करें । Dial 1912 for Complaints on Electricity


Report by NHPC Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr. No.	Particulars	Details									
1.	Name of listed company	NHPC Limited									
2.	Please tick appropriate check box Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company									
3.	A. Details of Designated Person (DP)										
	i. Name of DP	Shri Sandeep Puri									
	ii. PAN of DP	AAFPP4477P									
	iii. Designation of DP	Ex-General Manager (IT&C)									
	iv. Function Role of DP	Retired Employee									
	v. Whether DP is Promoter or belongs to Promoter Group	NO									
	B. If reporting is for immediate relative of DP										
	i. Name of immediate relative	Not Applicable.									
	ii. PAN of immediate relative	Not Applicable.									
	C. Details of transaction(s)										
	i. Name of the Scrip	NHPC Limited (NSE Scrip Code: NHPC and BSE Scrip Code: 533098)									
	ii. No. of Shares traded & value (Rs.) (Date-wise)	<table border="1"> <thead> <tr> <th>Date of Transaction</th><th>Transaction</th><th>Value</th></tr> </thead> <tbody> <tr> <td>20.03.2024</td><td>Purchase of 200 equity shares</td><td>16,230/-</td></tr> <tr> <td>20.03.2024</td><td>Sale of 200 equity shares</td><td>16,430/-</td></tr> </tbody> </table>	Date of Transaction	Transaction	Value	20.03.2024	Purchase of 200 equity shares	16,230/-	20.03.2024	Sale of 200 equity shares	16,430/-
Date of Transaction	Transaction	Value									
20.03.2024	Purchase of 200 equity shares	16,230/-									
20.03.2024	Sale of 200 equity shares	16,430/-									
	D. In case value of trade(s) is more than Rs. 10 lacs in a calendar quarter										
	i. Date of intimation of trade(s) by concerned DP/Director/Promoter/Promoter Group to Company under Regulation 7 of SEBI (PIT) Regulations, 2015										



	ii. Date of intimation of trade(s) by Company to stock exchanges under Regulation 7 of SEBI (PIT) Regulations, 2015	-
4.	Details of violations observed under Code of Conduct	Shri Puri has executed contra trade & violated continual disclosure norms under NHPC Code of Conduct formulated under SEBI (PIT) Regulations, 2015 (Code)
5.	Action taken by NHPC Limited	A penalty of Rs. 200/- was imposed along with issuance of cautionary letter to remain careful in future, while dealing in NHPC securities and to comply with the Code in true letter & spirit.
6.	Reasons recorded in writing for taking action stated above	The above penalty was imposed due to violation of contra trade provision & continual disclosure norms of the Code. Further, DP has declared that the transaction was executed inadvertently and he was not in possession of any UPSI at the time of entering into the transaction.
7.	Details of the previous instances of violations, if any, since last financial year	NIL
8.	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI – IPEF (Online/Demat)	Rs. 200/- (online) was credited in SEBI IPEF Account through Transaction ID: 240823194958577 on 23.08.2024.
	ii. Details of transfer / payment	

Date: 29.08.2024
Place: Faridabad, Haryana

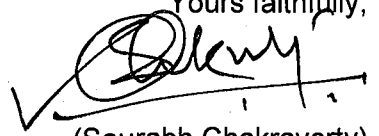
Yours faithfully,

(Saurabh Chakravorty)
Compliance Officer under NHPC Code of Conduct formulated under
SEBI (PIT) Regulations, 2015
PAN: ARRPC1750H
Email ID: schakravorty@nhpc.nic.in

Report by NHPC Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr. No.	Particulars	Details									
1.	Name of listed company	NHPC Limited									
2.	Please tick appropriate check box Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company									
3.	A. Details of Designated Person (DP)										
	i. Name of DP	Shri Gursharan Singh									
	ii. PAN of DP	AFXPM2470C									
	iii. Designation of DP	General Manager (Electrical)									
	iv. Function Role of DP	Posted at Teesta Low Dam-IV Project, NHPC Limited									
	v. Whether DP is Promoter or belongs to Promoter Group	NO									
	B. If reporting is for immediate relative of DP										
	i. Name of immediate relative	Not Applicable.									
	ii. PAN of immediate relative	Not Applicable.									
	C. Details of transaction(s)										
	i. Name of the Scrip	NHPC Limited (NSE Scrip Code: NHPC and BSE Scrip Code: 533098)									
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Date of Transaction	Transaction	Value									
27.03.2024	Sale of 5000 equity shares	4,34,235/-									
27.03.2024	Purchase of 3000 equity shares	2,59,650/-									
	D. In case value of trade(s) is more than Rs. 10 lacs in a calendar quarter										
	i. Date of intimation of trade(s) by concerned DP/ Director/ Promoter/ Promoter Group to Company under Regulation 7 of SEBI (PIT) Regulations, 2015	-									

	ii. Date of intimation of trade(s) by Company to stock exchanges under Regulation 7 of SEBI (PIT) Regulations, 2015	-
4.	Details of violations observed under Code of Conduct	Shri Singh has executed contra trade & violated continual disclosure norms under NHPC Code of Conduct formulated under SEBI (PIT) Regulations, 2015 (Code)
5.	Action taken by NHPC Limited	A penalty of Rs. 3,870/- was imposed along with issuance of cautionary letter to remain careful in future, while dealing in NHPC securities and to comply with the Code in true letter & spirit.
6.	Reasons recorded in writing for taking action stated above	The above penalty was imposed due to violation of contra trade provision & continual disclosure norms of the Code. Further, DP has declared that the transaction was executed inadvertently and he was not in possession of any UPSI at the time of entering into the transaction.
7.	Details of the previous instances of violations, if any, since last financial year	NIL
8.	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI – IPEF (Online/Demat)	Rs. 3,870/- (online) was credited in SEBI IPEF Account through Transaction ID: 240823194959564 on 23.08.2024.
	ii. Details of transfer / payment	

Date: 29.08.2024
Place: Faridabad, Haryana

Yours faithfully,

(Saurabh Chakravorty)
Compliance Officer under NHPC Code of Conduct formulated under
SEBI (PIT) Regulations, 2015
PAN: ARRPC1750H
Email ID: schakravorty@nhpc.nic.in

Report by NHPC Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

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1.	Name of listed company	NHPC Limited																								
2.	Please tick appropriate check box Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company																								
3.	A. Details of Designated Person (DP)																									
	i. Name of DP	Shri Chitta Ranjan Das																								
	ii. PAN of DP	ADWPD5470A																								
	iii. Designation of DP	Group General Manager (Electrical)																								
	iv. Function Role of DP	Posted at Teesta-V Power Station, NHPC Limited																								
	v. Whether DP is Promoter or belongs to Promoter Group	NO																								
	B. If reporting is for immediate relative of DP																									
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07.03.2024	Purchase of 300 equity shares	27,100/-																								
12.03.2024	Purchase of 350 equity shares	30,855/-																								

		13.03.2024	Purchase of 270 equity shares	21,694/-
	D. In case value of trade(s) is more than Rs. 10 lacs in a calendar quarter			
	i. Date of intimation of trade(s) by concerned DP/Director/Promoter/Promoter Group to Company under Regulation 7 of SEBI (PIT) Regulations, 2015	-		
	ii. Date of intimation of trade(s) by Company to stock exchanges under Regulation 7 of SEBI (PIT) Regulations, 2015	-		
4.	Details of violations observed under Code of Conduct	Shri Das has violated the continual disclosure norms under NHPC Code of Conduct formulated under SEBI (PIT) Regulations, 2015 (Code)		
5.	Action taken by NHPC Limited	A penalty of Rs. 3,000/- was imposed along with issuance of cautionary letter to remain careful in future, while dealing in NHPC securities and to comply with the Code in true letter & spirit.		
6.	Reasons recorded in writing for taking action stated above	The above penalty was imposed due to violation of continual disclosure norms of the Code.		
7.	Details of the previous instances of violations, if any, since last financial year	NIL		
8.	If any amount collected for Code of Conduct violation(s)			
	i. Mode of transfer to SEBI – IPEF (Online/Demat)	Rs. 3,000/- (online) was credited in SEBI IPEF Account through Transaction ID: 240823194960724 on 23.08.2024.		
	ii. Details of transfer / payment			

Yours faithfully,

Date: 29.08.2024
Place: Faridabad, Haryana

(Saurabh Chakravorty)
Compliance Officer under NHPC Code of Conduct formulated under
SEBI (PIT) Regulations, 2015
PAN: ARRPC1750H
Email ID: schakravorty@nhpc.nic.in