



एनएचपीसी लिमिटेड  
(भारत सरकार का उद्यम)

**NHPC Limited**  
(A Govt. of India Enterprise)

फोन/Phone : 0129-2278018

संदर्भ सं./Ref. No. NH/CS/199

Manager/ मैनेजर, Listing Department/ लिस्टिंग विभाग, <b>M/s BSE Limited/ बीएसई लिमिटेड,</b> Phiroze Jeejeebhoy Towers / फिरोज जीजीभोय टावर्स, Dalal Street/ दलाल स्ट्रीट, Mumbai/ मुंबई -400 001 <b>Scrip Code: 533098</b>	General Manager/ महाप्रबंधक, Listing Department/ लिस्टिंग विभाग, <b>M/s National Stock Exchange of India Limited/</b> <b>नेशनल स्टॉक एक्सचेंज ऑफ इंडिया लिमिटेड,</b> Exchange Plaza, / एक्सचेंज प्लाजा, Bandra Kurla Complex/ बांद्रा कुर्ला कॉम्प्लेक्स, Bandra (E)/ बांद्रा (ई), Mumbai/ मुंबई - 400 051 <b>Scrip Code: NHPC</b>
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ISIN No. INE848E01016

**Sub: Trade in equity shares of NHPC by Designated Person in contravention to NHPC Insider Trading Code**

**विषय : एनएचपीसी इनसाइडर ट्रेडिंग कोड के उल्लंघन में नामित व्यक्ति द्वारा एनएचपीसी के इक्विटी शेयरों में व्यापार**

Sirs/महोदय,

It is to inform that following Designated Person (DP) had traded in equity shares of NHPC in contravention to NHPC Insider Trading Code:

S. No.	Name & Designation	Action taken by NHPC	Reason for taking stated action
1	Shri Prakash Chandra Mohapatra, Senior Manager (Finance)	A penalty of Rs.5,500/- was imposed on DP for violations under NHPC Insider Trading Code. Further, a cautionary letter was also issued to the DP to remain careful in future, while trading in NHPC securities and to comply with the Code of Conduct in true letter & spirit.	As DP violated the provisions of NHPC Insider Trading Code by entering into reverse transaction within a period of 6 months, therefore, a penalty of Rs. 5,500/- was imposed along with cautionary letter to remain vigilant in future while trading in NHPC securities.

The disclosure in compliance to SEBI PIT Regulations and SEBI Circular dated 23.07.2020 is enclosed herewith at **Annexure-I**.

This is for your information and record.

यह आपकी जानकारी और रिकॉर्ड के लिए है।

धन्यवाद।

भवदीय,

संगलन: उपरोक्तानुसार

(रूपा देव)  
कंपनी सचिव

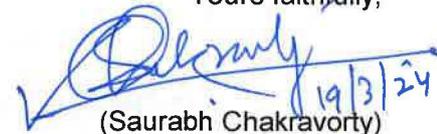
**Report by NHPC Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015**

Sr. No.	Particulars	Details		
1.	<b>Name of listed company</b>	NHPC Limited		
2.	Please tick appropriate check box Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company		
3.	<b>A. Details of Designated Person (DP)</b>			
	i. Name of DP	Shri Prakash Chandra Mohapatra		
	ii. PAN of DP	AJCPM9921B		
	iii. Designation of DP	Senior Manager (Finance)		
	iv. Function Role of DP	Posted at Finance Division, Corporate Office, Faridabad		
	v. Whether DP is Promoter or belongs to Promoter Group	NO		
	<b>B. If reporting is for immediate relative of DP</b>			
	i. Name of immediate relative	Not Applicable.		
	ii. PAN of immediate relative	Not Applicable.		
	<b>C. Details of transaction(s)</b>			
	i. Name of the Scrip	NHPC Limited (NSE Scrip Code: NHPC and BSE Scrip Code: 533098)		
	ii. No. of Shares traded & value (Rs.) (Date-wise)	<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:50%;">Date: 22.09.2023 No. of shares: Purchase of 5,000 equity shares Value: Rs.2,61,250/-</td> <td style="width:50%;">Date: 17.11.2023 No. of shares: Sale of 5,000 equity shares Value: Rs.2,63,750/-</td> </tr> </table>	Date: 22.09.2023 No. of shares: Purchase of 5,000 equity shares Value: Rs.2,61,250/-	Date: 17.11.2023 No. of shares: Sale of 5,000 equity shares Value: Rs.2,63,750/-
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	<b>D. In case value of trade(s) is more than Rs. 10 lacs in a calendar quarter</b>			
	i. Date of intimation of trade(s) by concerned DP/Director/Promoter/Promoter Group to Company under Regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable		

	ii. Date of intimation of trade(s) by Company to stock exchanges under Regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
4.	Details of violations observed under Code of Conduct	Violation of contra trade provisions i.e. reverse transaction in shares of NHPC Limited within 6 Months.
5.	<b>Action taken by NHPC Limited</b>	A penalty of Rs.5,500/- was imposed on DP for violations under NHPC Insider Trading Code. Further, a cautionary letter was also issued to the DP to remain careful in future, while trading in NHPC securities and to comply with the Code of Conduct in true letter & spirit.
6.	<b>Reasons recorded in writing for taking action stated above</b>	As DP violated the provisions of NHPC Insider Trading Code by entering into reverse transaction within a period of 6 months, therefore, a penalty of Rs. 5,500/- was imposed along with cautionary letter to remain vigilant in future while trading in NHPC securities.
7.	<b>Details of the previous instances of violations, if any, since last financial year</b>	No Prior Instances of Violation
8.	<b>If any amount collected for Code of Conduct violation(s)</b>	
	i. Mode of transfer to SEBI – IPEF (Online/Demat)	Rs.5,500/- (online) was deposited in SEBI IPEF Account through Transaction ID: 240318180289501 on 18.03.2024.
	ii. Details of transfer / payment	

Yours faithfully,

Date: 19.03.2024  
Place: Faridabad, Haryana

  
(Saurabh Chakravorty)

Compliance Officer under NHPC Code of Conduct formulated under  
SEBI (PIT) Regulations, 2015  
PAN: ARRPC1750H  
Email ID: schakravorty@nhpc.nic.in