



एनएचपीसी लिमिटेड
(भारत सरकार का उद्यम)

NHPC Limited
(A Govt. of India Enterprise)

फोन/Phone: 0129-2278018

संदर्भ सं./Ref. No. **NH/CS/199**

<p>Manager/ मैनेजर, Listing Department/ लिस्टिंग विभाग, M/s BSE Limited/ बीएसई लिमिटेड, Phiroze Jeejeebhoy Towers / फिरोज जीजीभोय टावर्स, Dalal Street/ दलाल स्ट्रीट, Mumbai/ मुंबई -400 001 Scrip Code: 533098</p>	<p>General Manager/ महाप्रबंधक, Listing Department/ लिस्टिंग विभाग, M/s National Stock Exchange of India Limited/ नेशनल स्टॉक एक्सचेंज ऑफ इंडिया लिमिटेड, Exchange Plaza, / एक्सचेंज प्लाजा, Bandra Kurla Complex/ बांद्रा कुर्ला कॉम्प्लेक्स, Bandra (E)/ बांद्रा (ई), Mumbai/ मुंबई - 400 051 Scrip Code: NHPC</p>
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ISIN No. INE848E01016

Sub: Trade in equity shares of NHPC by Designated Person in contravention to NHPC Insider Trading Code

विषय: एनएचपीसी इनसाइडर ट्रेडिंग कोड के उल्लंघन में नामित व्यक्ति द्वारा एनएचपीसी के इक्विटी शेयरों में व्यापार

Sirs/महोदय,

It is to inform that following Designated Person (DP) had traded in equity shares of NHPC in contravention to NHPC Insider Trading Code:

S. No.	Name & Designation	Action taken by NHPC	Reason for taking stated action
1	Smt. Shalini Mittal, Senior Manager (Finance)	A penalty of Rs.10,000/- was imposed on DP for violations under NHPC Insider Trading Code. Further, a cautionary letter was also issued to the DP to remain careful in future, while trading in NHPC securities and to comply with the Code of Conduct in true letter & spirit, as this was the first ever violation of the Code by the DP.	DP has declared that the shares were sold for the purpose of closing of demat account and she is not a usual stock player & shares traded were of the time of original allotment i.e. in the year 2009. DP has also declared that she was not in possession of any unpublished price sensitive information at the time of entering into the transaction.

The disclosure in compliance to SEBI PIT Regulations and SEBI Circular dated 23.07.2020 is enclosed herewith at **Annexure-I**.

This is for your information and record.

यह आपकी जानकारी और रिकॉर्ड के लिए है।

धन्यवाद।

भवदीय,

संगलन: उपरोक्तानुसार

(रूपा देव)
कंपनी सचिव

पंजीकृत कार्यालय : एनएचपीसी ऑफिस कॉम्प्लेक्स, सेक्टर-33, फरीदाबाद - 121003, हरियाणा

Regd. Office : NHPC Office Complex, Sector - 33, Faridabad - 121003, Haryana

CIN:L40101HR1975GOI032564; Website: www.nhpcindia.com

E-mail : webmaster@nhpc.nic.in; EPABX No. : 0129-2588110 / 2588500

बिजली से संबंधित शिकायतों के लिए 1912 डायल करें | Dial 1912 for Complaints on Electricity

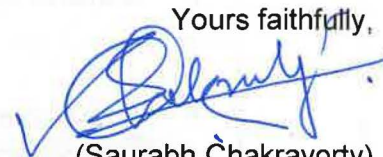
Report by NHPC Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr. No.	Particulars	Details
1.	Name of listed company	NHPC Limited
2.	Please tick appropriate check box Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3.	A. Details of Designated Person (DP)	
	i. Name of DP	Smt. Shalini Mittal
	ii. PAN of DP	AJUPM2088F
	iii. Designation of DP	Senior Manager (Finance)
	iv. Function Role of DP	Posted at Finance Division, Corporate Office, Faridabad
	v. Whether DP is Promoter or belongs to Promoter Group	NO
	B. If reporting is for immediate relative of DP	
	i. Name of immediate relative	Not Applicable.
	ii. PAN of immediate relative	Not Applicable.
	C. Details of transaction(s)	
	i. Name of the Scrip	NHPC Limited (NSE Scrip Code: NHPC and BSE Scrip Code: 533098)
	ii. No. of Shares traded & value (Rs.) (Date-wise)	Date: 28.12.2023 No. of shares: Sale of 21,000 equity shares Value: Rs.13,86,000/-
	D. In case value of trade(s) is more than Rs. 10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/Director/Promoter/Promoter Group to Company under Regulation 7 of SEBI (PIT) Regulations, 2015	02.02.2024



	ii. Date of intimation of trade(s) by Company to stock exchanges under Regulation 7 of SEBI (PIT) Regulations, 2015	02.02.2024
4.	Details of violations observed under Code of Conduct	1. DP has sold 21,000 equity shares on 28.12.2023 without obtaining pre-clearance from Compliance Officer of NHPC Insider Trading Code. 2. Delayed submission of Form-C as per Regulation 7 of SEBI (PIT) Regulations, 2015 and NHPC Insider Trading Code.
5.	Action taken by NHPC Limited	A penalty of Rs.10,000/- was imposed on DP for violations under NHPC Insider Trading Code. Further, a cautionary letter was also issued to the DP to remain careful in future, while trading in NHPC securities and to comply with the Code of Conduct in true letter & spirit, as this was the first ever violation of the Code by the DP.
6.	Reasons recorded in writing for taking action stated above	DP has declared that the above shares were sold for the purpose of closing of demat account and she is not a usual stock player & shares traded were of the time of original allotment i.e. in the year 2009. DP has also declared that she was not in possession of any unpublished price sensitive information at the time of entering into the transaction.
7.	Details of the previous instances of violations, if any, since last financial year	No prior instances of violation.
8.	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI – IPEF (Online/Demat)	Rs.10,000/- (online) was deposited in SEBI IPEF Account through Transaction ID: 240306179583417 on 06.03.2024.
	ii. Details of transfer / payment	

Date: 07.03.2024
Place: Faridabad, Haryana

Yours faithfully,

(Saurabh Chakravorty)
Compliance Officer under NHPC Code of Conduct formulated under
SEBI (PIT) Regulations, 2015
PAN: ARRPC1750H
Email ID: schakravorty@nhpc.nic.in