



एनएचपीसी लिमिटेड
(भारत सरकार का उद्यम)

NHPC Limited
(A Govt. of India Enterprise)

फोन/Phone : 0129-2278018

संदर्भ सं./Ref. No. NH/CS/199

<p>Manager/ मैनेजर, Listing Department/ लिस्टिंग विभाग, M/s BSE Limited/ बीएसई लिमिटेड, Phiroze Jeejeebhoy Towers, Dalal Street/ पि.जे. टावर्स,दलालस्ट्रीट Mumbai/ मुंबई -400 001 Scrip Code: 533098</p>	<p>General Manager/ महाप्रबंधक, Listing Department/ लिस्टिंग विभाग, M/s National Stock Exchange of India Limited/ नेशनल स्टॉक एक्सचेंज ऑफ इंडिया लिमिटेड, Exchange Plaza, Bandra Kurla Complex/ एक्सचेंज प्लाजा, बान्द्रा कुर्ला कॉम्प्लेक्स, Bandra (E)/ बान्द्रा (ई), Mumbai/ मुंबई - 400 051 Scrip Code: NHPC</p>
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ISIN No. INE848E01016

Sub: Contra trade in equity shares of NHPC by Designated Person

विषय : नामित व्यक्ति द्वारा एनएचपीसी के इक्विटी शेयरों में विपरीत व्यापार

Sirs/महोदय,

It is to inform that following Designated Person (DP) had executed a contra trade in equity shares of NHPC:

S. No.	Name & Designation	Action taken by NHPC	Reason for taking stated action
1	Shri Diwakar Mandal, Spl. Private Secretary	A penalty of Rs. 3,000/- was imposed for violation of NHPC Insider Trading Code along with cautionary letter to remain vigilant in future while trading in NHPC securities and to comply with the Code of Conduct in true letter & spirit.	DP has declared that he has transferred 300 shares of NHPC to his immediate relative i.e. Sonali Mondal (wife of DP) through off market transaction without any consideration. DP has also declared that at the time of entering into the transaction, neither he nor his immediate relative was in possession of any unpublished price sensitive information. As DP violated the provisions of NHPC Insider Trading Code by entering into reverse transaction within a period of 6 months, therefore, a penalty of Rs. 3,000/- was imposed along with cautionary letter to remain vigilant in future while trading in NHPC securities and to comply with the Code of Conduct of Insider Trading in true letter & spirit.

The disclosure in compliance to SEBI PIT Regulations and SEBI Circular dated 23.07.2020 is enclosed herewith at Annexure-I.

This is for your information and record.

यह आपकी जानकारी और रिकॉर्ड के लिए है।
धन्यवाद।

भवदीय,

संगलन: उपरोक्तानुसार

(रूपा देव)
कंपनी सचिव

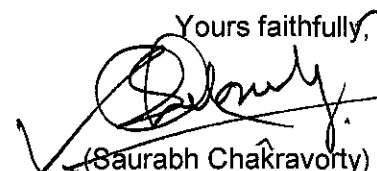
Report by NHPC Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr. No.	Particulars	Details		
1.	Name of listed company	NHPC Limited		
2.	Please tick appropriate check box Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company		
3.	A. Details of Designated Person (DP)			
	i. Name of DP	Shri Diwakar Mandal		
	ii. PAN of DP	AIYPM7503Q		
	iii. Designation of DP	Spl. Private Secretary		
	iv. Function Role of DP	Posted at Director (Projects), Sectt. Corporate Office, Faridabad		
	v. Whether DP is Promoter or belongs to Promoter Group	NO		
	B. If reporting is for immediate relative of DP			
	i. Name of immediate relative	Not Applicable.		
	ii. PAN of immediate relative	Not Applicable.		
	C. Details of transaction(s)			
	i. Name of the Scrip	NHPC Limited (NSE Scrip Code: NHPC and BSE Scrip Code: 533098)		
	ii. No. of Shares traded & value (Rs.) (Date-wise)	<table border="1"> <tr> <td>Date: 05.09.2023 No. of shares: Purchased 300 equity shares Value: Rs. 15,870/-</td> <td>Date: 10.11.2023 No. of shares: Transferred 300 equity shares to his immediate relative without consideration. Value: Nil</td> </tr> </table>	Date: 05.09.2023 No. of shares: Purchased 300 equity shares Value: Rs. 15,870/-	Date: 10.11.2023 No. of shares: Transferred 300 equity shares to his immediate relative without consideration. Value: Nil
Date: 05.09.2023 No. of shares: Purchased 300 equity shares Value: Rs. 15,870/-	Date: 10.11.2023 No. of shares: Transferred 300 equity shares to his immediate relative without consideration. Value: Nil			
	D. In case value of trade(s) is more than Rs. 10 lacs in a calendar quarter			
	i. Date of intimation of trade(s) by concerned DP/Director/Promoter/Promoter Group to Company under Regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable.		

(Signature)

	ii. Date of intimation of trade(s) by Company to stock exchanges under Regulation 7 of SEBI (PIT) Regulations, 2015	
4.	Details of violations observed under Code of Conduct	Contra trade i.e. reverse transaction was done by above DP in NHPC shares by purchasing 300 shares in September 2023 and transferring 300 shares to his immediate relative without consideration in November 2023 within a period of 6 months.
5.	Action taken by NHPC Limited	A penalty of Rs. 3,000/- was imposed for violation of NHPC Insider Trading Code along with cautionary letter to remain vigilant in future while trading in NHPC securities and to comply with the Code of Conduct in true letter & spirit.
6.	Reasons recorded in writing for taking action stated above	<p>DP has declared that he has transferred 300 shares of NHPC to his immediate relative i.e. Sonali Mondal (wife of DP) through off market transaction without any consideration. DP has also declared that at the time of entering into the transaction, neither he nor his immediate relative was in possession of any unpublished price sensitive information.</p> <p>As DP violated the provisions of NHPC Insider Trading Code by entering into reverse transaction within a period of 6 months, therefore, a penalty of Rs. 3,000/- was imposed along with cautionary letter to remain vigilant in future while trading in NHPC securities and to comply with the Code of Conduct of Insider Trading in true letter & spirit.</p>
7.	Details of the previous instances of violations, if any, since last financial year	Not Applicable.
8.	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI – IPEF (Online/Demat)	Rs 3000/- (online) was deposited in SEBI IPEF Account through Transaction ID: 240115174797643 on 15.01.2024.
	ii. Details of transfer / payment	

Date: 17.01.2024
Place: Faridabad, Haryana

Yours faithfully,


(Saurabh Chakravorty)
Compliance Officer under NHPC Code of Conduct formulated under
SEBI (Prohibition of Insider Trading) Regulations, 2015
PAN: ARRPC1750H
Email ID: schakravorty@nhpc.nic.in