



एनएचपीसी लिमिटेड
(भारत सरकार का उद्यम)

NHPC Limited
(A Govt. of India Enterprise)

फोन/Phone: 0129-2278018

दिनांक/Date: 21.06.2023

संदर्भ सं./Ref. No. NH/CS/199

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| <p>Manager/ मैनेजर, Listing Department/ लिस्टिंग विभाग, M/s BSE Limited/ बीएसई लिमिटेड, Phiroze Jeejeebhoy Towers, Dalal Street/ पि.जे. टावर्स,दलालस्ट्रीट Mumbai/ मुंबई -400 001 Scrip Code: 533098</p> | <p>General Manager/ महाप्रबंधक, Listing Department/ लिस्टिंग विभाग, M/s National Stock Exchange of India Limited/ नेशनल स्टॉक एक्सचेंज ऑफ इंडिया लिमिटेड, Exchange Plaza, Bandra Kurla Complex/ एक्सचेंज प्लाजा, बान्द्रा कुर्ला कॉम्प्लेक्स, Bandra (E)/ बान्द्रा (ई), Mumbai/ मुंबई - 400 051 Scrip Code: NHPC</p> |
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ISIN No. INE848E01016

Sub: Trade in equity shares of NHPC by designated person, during Trading Window closure period

विषय : ट्रेडिंग विंडो बंद होने की अवधि के दौरान नामित व्यक्ति द्वारा एनएचपीसी के इक्विटी शेयरों में व्यापार

Sirs/महोदय,

This has reference to our letter dated 27.03.2023 and 26.04.2023 vide which intimation about closure of trading window, as per SEBI (Prohibition of Insider Trading) Regulations, 2015 [SEBI PIT Regulations] and NHPC Code of Conduct to Regulate, Monitor & Report trading by insiders (Code), for the period from March 31, 2023 till 48 hours after declaration of financial results for the quarter/year ended 31st March, 2023 i.e. 31st May, 2023 was intimated.

In this regard, it is to inform that following Designated Person (DP) had traded during the above trading window closure period:

| S No. | Name & Designation | Action taken by NHPC | Reason for taking stated action |
|-------|---|---|---|
| 1 | Shri Ajay Mittal, Ex-Executive Director, NHPC Limited | A cautionary letter has been issued by Compliance Officer of the Code to the DP, advising him to be careful in future while dealing in securities of Company. | DP had retired from the service of the Company w.e.f. 31.01.2023 and the trade happened only after his retirement & not during his active service. It was the first violation of the DP under the Code and the amount involved in the transaction was also insignificant. Considering above facts, a cautionary letter was issued to him where he was advised to remain vigilant in future while trading in NHPC shares and to comply with the Code of Conduct in true letter & spirit. |

The disclosure in compliance to SEBI PIT Regulations and SEBI Circular dated 23.07.2020 is enclosed herewith at Annexure-I.

This is for your information and record.

यह आपकी जानकारी और रिकॉर्ड के लिए है।

धन्यवाद |

भवदीय,

संगलन: उपरोक्तानुसार

(रूपा देव)
कंपनी सचिव

पंजीकृत कार्यालय : एनएचपीसी ऑफिस कॉम्प्लेक्स, सेक्टर-33, फरीदाबाद - 121003, हरियाणा

Regd. Office : NHPC Office Complex, Sector - 33, Faridabad - 121003, Haryana

CIN:L40101HR1975GOI032564; Website: www.nhpcindia.com

E-mail : webmaster@nhpc.nic.in; EPABX No. : 0129-2588110 / 2588500

बिजली से संबंधित शिकायतों के लिए 1912 डायल करें | Dial 1912 for Complaints on Electricity

Report by NHPC Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

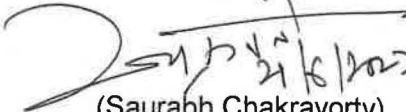
| Sr. No. | Particulars | Details |
|---------|--|--|
| 1. | Name of listed company | NHPC Limited |
| 2. | Please tick appropriate check box Reporting in capacity of: <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary | Listed Company |
| 3. | A. Details of Designated Person (DP) | |
| | i. Name of DP | Shri Ajay Mittal |
| | ii. PAN of DP | AGXPM1100C |
| | iii. Designation of DP | Ex-Executive Director |
| | iv. Function Role of DP | Voluntary retirement on 31.01.2023 |
| | v. Whether DP is Promoter or belongs to Promoter Group | NO |
| | B. If reporting is for immediate relative of DP | |
| | i. Name of immediate relative | Not Applicable. |
| | ii. PAN of immediate relative | Not Applicable. |
| | C. Details of transaction(s) | |
| | i. Name of the Scrip | NHPC Limited (NSE Scrip Code: NHPC and BSE Scrip Code: 533098) |
| | ii. No. of Shares traded & value (Rs.) (Date-wise) | Date: 24.04.2023 No. of shares: Sold 100 equity shares Value: Rs. 4240/- |
| | D. In case value of trade(s) is more than Rs. 10 lacs in a calendar quarter | |
| | i. Date of intimation of trade(s) by concerned DP/Director/Promoter/Promoter Group to Company under Regulation 7 of SEBI (PIT) Regulations, 2015 | Not Applicable. |

Signature
21/6/2023

| | | |
|----|---|---|
| | ii. Date of intimation of trade(s) by Company to stock exchanges under Regulation 7 of SEBI (PIT) Regulations, 2015 | |
| 4. | Details of violations observed under Code of Conduct | Trading in NHPC shares by DP during the Prohibited Period i.e. Trading window closure period. |
| 5. | Action taken by NHPC Limited | A cautionary letter has been issued by Compliance Officer of the Code to the DP, advising him to be careful in future while dealing in securities of Company. |
| 6. | Reasons recorded in writing for taking action stated above | DP had retired from the service of the Company w.e.f. 31.01.2023 and the trade happened only after his retirement & not during his active service. It was the first violation of the DP under the Code and the amount involved in the transaction was also insignificant. Considering above facts, a cautionary letter was issued to him where he was advised to remain vigilant in future while trading in NHPC shares and to comply with the Code of Conduct in true letter & spirit. |
| 7. | Details of the previous instances of violations, if any, since last financial year | No violation was done by above DP except as reported above. |
| 8. | If any amount collected for Code of Conduct violation(s) | |
| | i. Mode of transfer to SEBI – IPEF (Online/Demat) | Not Applicable. |
| | ii. Details of transfer / payment | |

Date: 21.06.2023
Place: Faridabad, Haryana

Yours faithfully,


(Saurabh Chakravorty)

Compliance Officer under NHPC Code of Conduct formulated under
SEBI (Prohibition of Insider Trading) Regulations, 2015
PAN: ARRPC1750H
Email ID: schakravorty@nhpc.nic.in