



**एनएचपीसी लिमिटेड**

(भारत सरकार का उद्यम)

**NHPC Limited**

(A Govt. of India Enterprise)

फोन/Phone: 0129-2278018

संदर्भ सं./Ref. No. NH/CS/199

दिनांक/Date: 23.08.2022

Manager/ मैनेजर, Listing Department/ लिस्टिंग विभाग, M/s BSE Limited/ बीएसई लिमिटेड, Phiroze Jeejeebhoy Towers / फिरोज जीजीभोय टावर्स, Dalal Street, /दलाल स्ट्रीट, Mumbai/ मुंबई -400 001 Scrip Code: 533098	General Manager/ महाप्रबंधक, Listing Department/ लिस्टिंग विभाग, M/s National Stock Exchange of India Limited/ नेशनल स्टॉक एक्सचेंज ऑफ इंडिया लिमिटेड, Exchange Plaza, / एक्सचेंज प्लाजा, Bandra Kurla Complex/ बांद्रा कुर्ला कॉम्प्लेक्स, Bandra (E)/ बांद्रा (ई), Mumbai/ मुंबई - 400 051 Scrip Code: NHPC
---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

ISIN No. INE848E01016

**Sub: Trade in equity shares of NHPC by designated person, during Trading Window closure period**

विषय : ट्रेडिंग विंडो बंद होने की अवधि के दौरान नामित व्यक्ति द्वारा एनएचपीसी के इक्विटी शेयरों में व्यापार करने के संबंध में

Sirs/महोदय,

This has reference to our letter dated 28.03.2022 and 02.05.2022 vide which intimation about closure of trading window, as per SEBI (Prohibition of Insider Trading) Regulations, 2015 [SEBI PIT Regulations] and NHPC Code of Conduct to Regulate, Monitor & Report trading by insiders (Code), for the period from March 31, 2022 till 48 hours after declaration of financial results for the quarter/year ended 31<sup>st</sup> March, 2022 i.e. May 27, 2022 was intimated.

In this regard, it is to inform that below mentioned Designated Person (DP) had traded during the above trading window closure period and also executed contra trade within a period of 6 months. The amount of penalty imposed on the DP is as under:-

S No.	Name & Designation	Amount of Penalty imposed	Date and UTR no. for transfer of amount to SEBI (IPEF) Account
1	Shri Deepak Rattan Sagar, General Manager (Civil), NHPC Limited	Rs. 3,000/-	Date: 17.08.2022 UTR No.: SBIN52229997200

The disclosure in compliance to SEBI PIT Regulations and SEBI Circular dated 23.08.2022 is enclosed herewith at Annexure-I.

This is for your information and record.

यह आपकी जानकारी और रिकॉर्ड के लिए है।

धन्यवाद |

संगलन: उपरोक्तानुसार

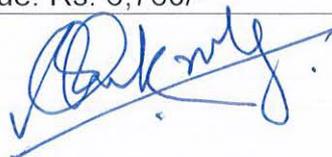
भवदीय,

(रूपा देव)

कंपनी सचिव

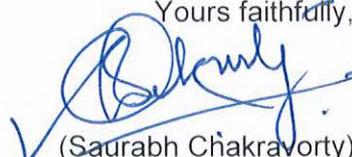
**Report by NHPC Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015**

Sr. No.	Particulars	Details
1.	<b>Name of listed company</b>	NHPC Limited
2.	Please tick appropriate check box Reporting in capacity of: <input checked="" type="radio"/> Listed Company <input type="radio"/> Intermediary <input type="radio"/> Fiduciary	Listed Company
3.	<b>A. Details of Designated Person (DP)</b>	
	i. Name of DP	<b>Shri Deepak Rattan Sagar</b>
	ii. PAN of DP	AQJPS3153K
	iii. Designation of DP	General Manager (Civil)
	iv. Function Role of DP	Posted at Chamera-I Power Station, NHPC
	v. Whether DP is Promoter or belongs to Promoter Group	NO
	<b>B. If reporting is for immediate relative of DP</b>	
	i. Name of immediate relative	
	ii. PAN of immediate relative	
	<b>C. Details of transaction(s)</b>	
	i. Name of the Scrip	NHPC Limited (NSE Scrip Code: NHPC and BSE Scrip Code: 533098)
	ii. No. of Shares traded & value (Rs.) (Date-wise)	Date: 28.04.2022 No. of shares: Purchased 100 shares Value: Rs. 3,385/-  Date: 05.05.2022 No. of shares: Purchased 100 shares Value: Rs. 3,265/-  Date: 01.06.2022 No. of shares: Sold 200 shares Value: Rs. 6,760/-



<b>D. In case value of trade(s) is more than Rs. 10 lacs in a calendar quarter</b>		
	<p>i. Date of intimation of trade(s) by concerned DP/Director/Promoter/Promoter Group to Company under Regulation 7 of SEBI (PIT) Regulations, 2015</p> <p>ii. Date of intimation of trade(s) by Company to stock exchanges under Regulation 7 of SEBI (PIT) Regulations, 2015</p>	Not Applicable.
<b>4.</b>	<b>Details of violations observed under Code of Conduct</b>	Trading in NHPC shares by immediate relative of above DP through his demat account during the period of closure of Trading window and contra trade within a period of 6 months.
<b>5.</b>	<b>Action taken by NHPC Limited</b>	A penalty of Rs. 3,000/- was imposed on above DP for violation of Insider Trading Code.
<b>6.</b>	<b>Reasons recorded in writing for taking action stated above</b>	DP has declared that at the time of entering into the transaction, neither he nor his immediate relative was in possession of any unpublished price sensitive information and the said trade was carried out inadvertently by his immediate relative from his demat account during closure of trading window. DP has earned nominal profit from the above transactions. However, as DP has violated the provisions of NHPC Insider Trading Code, therefore, penalty of Rs. 3,000/- was imposed with the direction that to remain vigilant in future while trading in NHPC securities and to comply with the Code of Conduct of Insider Trading in true letter & spirit.
<b>7.</b>	<b>Details of the previous instances of violations, if any, since last financial year</b>	No violation was done by above DP except as reported above.
<b>8.</b>	<b>If any amount collected for Code of Conduct violation(s)</b>	
	i. Mode of transfer to SEBI – IPEF (Online/Demat)	The Penalty amount of Rs. 3,000/- has been remitted online on 17.08.2022 to SEBI IPEF Account.
	ii. Details of transfer / payment	The UTR No. for the above remittance is SBIN522229997200

Date: 23.08.2022  
Place: Faridabad, Haryana

Yours faithfully,  
  
 (Saurabh Chakravorty)  
 Compliance Officer under NHPC Code of Conduct formulated under  
 SEBI (Prohibition of Insider Trading) Regulations, 2015  
 PAN: ARRPC1750H  
 Email ID: schakravorty@nhpc.nic.in