



एनएचपीसी लिमिटेड
(भारत सरकार का उद्यम)

NHPC Limited
(A Govt. of India Enterprise)

संदर्भ सं./Ref. No. **NH/CS/199**

फोन/Phone: 0129-2278018

दिनांक/Date: 03.02.2023

<p>Manager/ मैनेजर, Listing Department/ लिस्टिंग विभाग, M/s BSE Limited/ बीएसई लिमिटेड, Phiroze Jeejeebhoy Towers / फिरोज जीजीभोय टावर्स, Dalal Street,/दलाल स्ट्रीट, Mumbai/ मुंबई -400 001 Scrip Code: 533098</p>	<p>General Manager/ महाप्रबंधक, Listing Department/ लिस्टिंग विभाग, M/s National Stock Exchange of India Limited/ नेशनल स्टॉक एक्सचेंज ऑफ इंडिया लिमिटेड, Exchange Plaza, / एक्सचेंज प्लाजा, Bandra Kurla Complex/ बांद्रा कुर्ला कॉम्प्लेक्स, Bandra (E)/ बांद्रा (ई), Mumbai/ मुंबई - 400 051 Scrip Code: NHPC</p>
---	--

ISIN No. INE848E01016

Sub: Trade in equity shares of NHPC by designated person, during Trading Window closure period

विषय: ट्रेडिंग विंडो बंद होने की अवधि के दौरान नामित व्यक्ति द्वारा एनएचपीसी के इक्विटी शेयरों में व्यापार करने के संबंध में

Sirs/महोदय,

This has reference to our letter dated 28.09.2022 and 18.10.2022 vide which intimation about closure of trading window, as per SEBI (Prohibition of Insider Trading) Regulations, 2015 [SEBI PIT Regulations] and NHPC Code of Conduct to Regulate, Monitor & Report trading by insiders (Code), for the period from September 30, 2022 till 48 hours after declaration of financial results for the quarter and half-year ended 30th September, 2022 i.e. November 12, 2022 was intimated.

In this regard, it is to inform that one designated person (details given below) had traded during the above trading window closure period and penalty as mentioned against his name has been imposed and transferred to SEBI (IPEF) Account as under:-

S. No.	Name & Designation	Amount of Penalty imposed	Date and UTR no. for transfer of amount to SEBI (IPEF) Account
1	Shri Rajbir Singh, Ex-Group General Manager, NHPC Limited	Rs. 42,240/-	Date: 02.02.2023 UTR No. SBIN523033595518

The disclosure in compliance to SEBI PIT Regulations and SEBI Circular dated 23.07.2020 is enclosed herewith at **Annexure-I**.

This is for your information and record.

यह आपकी जानकारी और रिकॉर्ड के लिए है।

धन्यवाद |

भवदीय,

संगलन: उपरोक्तानुसार

(रूपा देव)

कंपनी सचिव

Report by NHPC Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr. No.	Particulars	Details
1.	Name of listed company	NHPC Limited
2.	Please tick appropriate check box Reporting in capacity of: <input checked="" type="radio"/> Listed Company <input type="radio"/> Intermediary <input type="radio"/> Fiduciary	Listed Company
3.	A. Details of Designated Person (DP)	
	i. Name of DP	Shri Rajbir Singh
	ii. PAN of DP	AAOPS0743A
	iii. Designation of DP	Ex-Group General Manager
	iv. Function Role of DP	Superannuated on 31.07.2022
	v. Whether DP is Promoter or belongs to Promoter Group	NO
	B. If reporting is for immediate relative of DP	
	i. Name of immediate relative	Not Applicable.
	ii. PAN of immediate relative	Not Applicable.
	C. Details of transaction(s)	
	i. Name of the Scrip	NHPC Limited (NSE Scrip Code: NHPC and BSE Scrip Code: 533098)
	ii. No. of Shares traded & value (Rs.) (Date-wise)	Date: 27.10.2022 No. of shares: Sold 8000 equity shares Value: Rs. 3,65,840/-
	D. In case value of trade(s) is more than Rs. 10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/Director/Promoter/Promoter Group to Company under Regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable.




	ii. Date of intimation of trade(s) by Company to stock exchanges under Regulation 7 of SEBI (PIT) Regulations, 2015	
4.	Details of violations observed under Code of Conduct	Trading in NHPC shares done by above mentioned DP during the Trading window closure period.
5.	Action taken by NHPC Limited	A penalty of Rs 42,240/- was imposed on DP for above transaction on the basis of notional profit earned by DP i.e. difference between closing price of share on one day before the trading window closure and opening price of share on the day of opening of trading window.
6.	Reasons recorded in writing for taking action stated above	DP has declared that at the time of entering into the transaction, he was retired from the services of the Company and the said trade was carried out inadvertently during closure of trading window. Further, as DP has violated the provisions of NHPC Insider Trading Code for the first time, therefore, it was directed to remit the notional profit earned, if any, to NHPC for onward remittance to SEBI. He was also directed to remain vigilant in future while trading in NHPC shares and to comply with the Code of Conduct in true letter & spirit.
7.	Details of the previous instances of violations, if any, since last financial year	No violation was done by above DP except as reported above.
8.	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI – IPEF (Online/Demat)	Not Applicable.
	ii. Details of transfer / payment	

Date: 03.02.2023
Place: Faridabad, Haryana

Yours faithfully,


3/2/2023
(Saurabh Chakravorty)

Compliance Officer under NHPC Code of Conduct formulated under
SEBI (Prohibition of Insider Trading) Regulations, 2015
PAN: ARRPC1750H
Email ID: schakravorty@nhpc.nic.in