



एनएचपीसी लिमिटेड
(भारत सरकार का उद्यम)

NHPC Limited
(A Govt. of India Enterprise)

फोन/Phone : 0129-2278018

दिनांक/Date : 30.05.2022

संदर्भ सं./Ref. No. NH/CS/199

Manager The Listing Department, M/s BSE Limited, Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai-400001 मैनेजर, लिस्टिंग विभाग, बीएसई लिमिटेड पि.जे. टावर्स, दलाल स्ट्रीट, मुंबई- 400 001, Scrip Code: 533098	General Manager The Listing Department M/s National Stock Exchange of India Limited, Exchange Plaza, Bandra Kurla Complex, Bandra(E), Mumbai- 400051 महाप्रबंधक, लिस्टिंग विभाग, नेशनल स्टॉक एक्सचेंज ऑफ इंडिया लिमिटेड एक्सचेंज प्लाजा, बांद्रा कुर्ला कॉम्प्लेक्स, बांद्रा (ई), मुंबई - 400 051, Scrip Code: NHPC
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ISIN No. INE848E01016

Sub: Trade in equity shares of NHPC by designated person, during Trading Window closure period

विषय : ट्रेडिंग विंडो बंद होने की अवधि के दौरान नामित व्यक्ति द्वारा एनएचपीसी के इक्विटी शेयरों में व्यापार करने के संबंध में

Sirs/महोदय,

This has reference to our letter dated 28.03.2022 and 02.05.2022 vide which intimation about closure of trading window, as per SEBI (Prohibition of Insider Trading) Regulations, 2015 [SEBI PIT Regulations] and NHPC Code of Conduct to Regulate, Monitor & Report trading by insiders (Code), for the period from March 31, 2022 till 48 hours after declaration of financial results for the quarter/year ended 31st March, 2022 i.e. May 27, 2022 was intimated.

In this regard, it is to inform that following designated person had traded during the above trading window closure period and penalty of amount mentioned against his name has been imposed:-

S No.	Name & Designation	Amount of Penalty imposed	Date and UTR no. for transfer of amount to SEBI (IPEF) Account
1	Shri Ranjit Singh, General Manager (Mechanical), NHPC Limited	Rs. 12,810/-	Date: 20.05.2022 UTR No. SBIN222140149624

The disclosure in compliance to SEBI PIT Regulations and SEBI Circular dated 23.07.2020 is enclosed herewith at Annexure-I.

This is for your information and record.

यह आपकी जानकारी और रिकॉर्ड के लिए है।

धन्यवाद |

संगलन : उपरोक्तानुसार

भवदीय,

(रूपा देव)

कंपनी सचिव

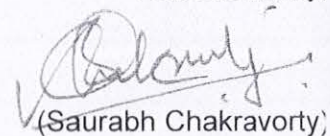
Report by NHPC Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

Sr. No.	Particulars	Details
1.	Name of listed company	NHPC Limited
2.	Please tick appropriate check box Reporting in capacity of: <input checked="" type="radio"/> Listed Company <input type="radio"/> Intermediary <input type="radio"/> Fiduciary	Listed Company
3.	A. Details of Designated Person (DP)	
	i. Name of DP	Shri Ranjit Singh
	ii. PAN of DP	AUAPS7306K
	iii. Designation of DP	General Manager (Mechanical)
	iv. Function Role of DP	Parbati II Project, Electro-Mech Works of Construction
	v. Whether DP is Promoter or belongs to Promoter Group	NO
	B. If reporting is for immediate relative of DP	
	i. Name of immediate relative	N.A
	ii. PAN of immediate relative	N.A
	C. Details of transaction(s)	
	i. Name of the Scrip	NHPC Limited (NSE Scrip Code: NHPC and BSE Scrip Code: 533098)
	ii. No. of Shares traded & value (Rs.) (Date-wise)	Date: 22.04.2022 No. of shares: Sold 1400 shares Value: Rs. 51,100/-
	D. In case value of trade(s) is more than Rs. 10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/Director/Promoter/Promoter Group to Company under Regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable.

	ii. Date of intimation of trade(s) by Company to stock exchanges under Regulation 7 of SEBI (PIT) Regulations, 2015	
4.	Details of violations observed under Code of Conduct	Trading in NHPC shares done by above mentioned DP during the period of closure of Trading window.
5.	Action taken by NHPC Limited	A penalty of Rs 12,810/- was imposed on above DP based on difference between selling price and closing price on 29.03.2022 (trading day- one daybefore the closure of trading window)
6.	Reasons recorded in writing for taking action stated above	DP had declared that at the time of entering into the transaction, he was not in possession of any unpublished price sensitive information. As DP has violated the provisions of NHPC Insider Trading Code for the first time, therefore, penalty of Rs 12,810/- was imposed with the direction that to remain vigilant in future while trading in NHPC securities and to comply with the Code of Conduct of Insider Trading in true letter & spirit.
7.	Details of the previous instances of violations, if any, since last financial year	No violation was done by above DP except as reported above.
8.	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI – IPEF (Online/Demat)	Penalty amount of Rs 12,810/- has been remitted online on 20.05.2022 to SEBI IPEF Account
	ii. Details of transfer / payment	The UTR No. for the above remittance is SBIN222140149624

Date: 30.05.2022
Place: Faridabad, Haryana

Yours faithfully,


(Saurabh Chakravorty)

Compliance Officer under NHPC Code of Conduct formulated under
SEBI (Prohibition of Insider Trading) Regulations, 2015

PAN: ARRPC1750H

Email ID: schakravorty@nhpc.nic.ir