| Form | 11/ | Α |
|------|-----|-----|
| -orm | ıv | - A |

Short -term Inter- State Transactions of Electricity by Trading Licensees (RTC*)

Name of the Trading Licensee : NHPC Limited

Licence Details (No. & Date): 80/Trading Licence /2018/CERC dated 23.04.2018

Month: May'2023

| | Period of Po | wer Delivery | Time of Po | wer Delivery | | Purch | ased from | | | Sold to | | | | |
|---------|----------------------------|--------------------------|-----------------------|---------------------|------------------------------|--------------------|-----------|-------|----------------------|----------|---|------------------------------|-----------------------|------------------------------|
| Sr. No. | Start Date (DD/MM/YYYY) | End Date (DD/MM/YYYY) | Start Time (HH:MM) | End Time (HH:MM) | Scheduled Volume (MUs) | Name of the Seller | Category | State | Name of the Buyer | Category | State | Purchase Price (₹/kwh) | Sale Price (₹/kwh) | Trading Margin (₹/kwh) |
| Α | Inter State Tradin | ng Transactions | | | | | | | | | | | | |
| 1 | | | | | | | | | - | | | | | |
| 2 | | | | | | | | | | | | | | |
| В | Inter State Tradir | ng Transactions th | rough Swapp | ing or Banking | Arrangement | | | | | | *************************************** | | | |
| 1 2 3 | | | | | | | NIL | 8 . | | | ¥ | | | |
| С | Cross Border Tra | ansactions | | | 0. | | | | | | | | | |
| 1 2 3 | | × | | | | | NIL | | | | 7 | | | |
| | | Grand T | otal | | | | | | | | | | | |

RTC*→

Note: (1) Data shall be submitted transaction- wise and should not be aggregated.

Note: (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, Commercial open access consumer, trader (when applicable) etc.

Note: (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page: Statutory Information)

Note : (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note : (5) Data shall be submitted to the Commission i.e. hard copy to Secretary, CERC and soft copy in an excel Sheet By E-mail and through Saudamini portal (E-monitoring)

Note: (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.



Form IV -B

Short -term Inter- State Transactions of Electricity by Trading Licensees (Peak*)

Name of the Trading Licensee:NHPC Limited

Licence Details (No. & Date): 80/Trading Licence /2018/CERC dated 23.04.2018

Month: May'2023

| | Period of Po | wer Delivery | Time of Pov | ver Delivery | Scheduled | Р | urchased from | m | | Sold to | | Purchase | | Trading |
|---|--------------------------------|------------------------------|-----------------------|---------------------|---------------|-----------------------|--|--|----------------------|----------|-------|---|-----------------------|-------------------|
| | Start Date (DD/MM/YYY Y) | End Date (DD/MM/YYY Y) | Start Time (HH:MM) | End Time (HH:MM) | Volume | Name of the Seller | Category | State | Name of the Buyer | Category | State | Price (₹/kwh) | Sale Price (₹/kwh) | Margin (₹/kwh) |
| Α | Inter State Tra | ding Transaction | ons | | | | | | | | | ************************************** | | |
| 1 | | | | | | | | | | | | *************************************** | | / |
| 2 | NIL | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | |
| В | Inter State Tra | ding Transactio | ons through Sw | apping or Ban | king Arrangem | ent | | | | | | | | |
| 1 | | | | | | | | | | | | | | |
| 2 | | | | | | | N | IL | | | | | | 85 |
| 3 | | | | | | | | | | | | | | |
| С | Cross Border | Transactions | | | | | | The second secon | | | | | | **** |
| 1 | | | | | | | 99, /////////////////////////////////// | | | | , | | | |
| 2 | | | | | | | N | IL | | | | | | |
| 3 | | | | | | | | | | | | | | |

*Peak -> Peak Period (Evening Peak from 17:00hrs to 23:00 hrs). Peak period may be revised by the Commission from time to time.

Note: (1) Data shall be submitted transaction- wise and should not be aggregated.

Note: (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, Commercial open access consumer, trader (when applicable)etc.

Note: (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page: Statutory Information)

Note: (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note: (5) Data shall be submitted to the Commission i.e. hard copy to Secretary, CERC and soft copy in an excel Sheet By E-mail and through Saudamini portal (E-monitoring)

Note: (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.



Form IV -C

Short -term Inter- State Transactions of Electricity by Trading Licensees (Other than Peak & RTC)

Name of the Trading Licensee : NHPC Limited
Licence Details (No. & Date) : 80/Trading Licence /2018/CERC dated 23.04.2018

Month: May'2023

| | Period of Power Delivery Start Date End Date | | Time of Pov | wer Delivery | Scheduled | | Purchased fron | 1 | | Sold to | | | | |
|---------|---|------------------------------|---|---------------------|-----------------|---------------------------------------|----------------|-------|----------------------|----------|-------|------------------------------|-----------------------|------------------------------|
| Sr. No. | Start Date (DD/MM/YYYY) | End Date (DD/MM/YYYY) | Start Time (HH:MM) | End Time (HH:MM) | Volume (MUs) | Name of the Seller | Category | State | Name of the Buyer | Category | State | Purchase Price (₹/kwh) | Sale Price (₹/kwh) | Trading Margin (₹/kwh) |
| Α | Inter State Trad | ing Transactions | | | | | | | | | | | | |
| 1 | | | | | | | | | | | | | | |
| 2 | | | | | | | N | IL | | | | | | |
| 3 | | | | | | | | | | | | | | |
| В | Inter State Trad | ng Transactions | through Swappi | ing or Banking A | rrangement | | | | | | * | | | |
| 1 | | | | | | · · · · · · · · · · · · · · · · · · · | | | | | | | | |
| 2 | | | | | | | NI | IL | | | | | | |
| 3 | | | | | | | | _ | | | | | | |
| С | Cross Border Tr | ansactions | *************************************** | | | | | | | | | | | |
| 1 | | | | | | | | | | | | | | |
| 2 | | | | | | | NI | L | | | | | | |
| 3 | 1 | | | | | | | - | | | | | | |

| Note : (1) Note : (2) | Data shall be submitted transaction- wise and should not be aggregated. Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, Commercial open access consumer, trader (when applicable) etc. |
|--------------------------|---|
| Note : (3) | Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page: Statutory Information) |
| Note: (4) | Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee. |
| Note : (5) Note : (6) | Data shall be submitted to the Commission i.e. hard copy to Secretary, CERC and soft copy in an excel Sheet By E-mail and through Saudamini portal (E-monitoring) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table |



Form IV -D

Long -term Inter- State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : NHPC Limited
Licence Details (No. & Date) : 80/Trading Licence /2018/CERC dated 23.04.2018

Month: May'2023

| | Period of Po | wer Delivery | Scheduled Volume | | Purchased from | | | Sold to | | Sale Price |
|---------|----------------------------|--------------------------|-------------------------|---------------------------------------|-----------------------|-----------|---|---------|---------------|------------|
| Sr. No. | Start Date (DD/MM/YYYY) | End Date (DD/MM/YYYY) | (Mus) | Name of the Seller | Category | State | Name of the Buyer Category | | State | (₹/kwh) |
| Α | Inter State Trading Tran | sactions | | | | | | | | |
| 1 1 | 01.05.2023 | 31.05.2023 | 74.74 | Avaada Sunrays Energy Pvt. Limited | Solar Power Developer | Rajasthan | Punjab State Power Corporation Limited | Discom | Punjab | 2.63 |
| 2 | 01.05.2023 | 31.05.2023 | 4.99 | Avaada Sunrays Energy Pvt. Limited | Solar Power Developer | Rajasthan | Jammu and Kashmir Power Corporation Ltd. | Discom | Jammu Kashmir | 2.63 |
| В | Inter State Trading Tran | sactions through Swap | ping or Banking Arrange | ment | | | | | | |
| 1 | | | | | | | = - | * | | |
| 2 | 001 | | | | NI | L | | | | |
| 3 | | | | | | | | | | |
| С | Cross Border Transacti | ons | | | | | | | | |
| 1 | | | | | | | - | | 20 | |
| 2 | | | | | NI | L | | | | |
| 3 | | | | 82 | | | | | | |

| Note : (1) Note : (2) | Data shall be submitted transaction- wise and should not be aggregated. Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, Commercial open access consumer, trader (when applicable) etc. |
|--------------------------|---|
| Note : (3) | Data shall be posted on the website of the electricity trader with link on the home page as Statutory Information |
| Note: (4) | Data shall be submitted on a monthly basis by 15th of the following month to the Commision, Regional Load Dispatch Centre and Regional Power Committee. |
| Note: (5) | Data shall be submitted to the Commission i.e. hard copy to Secretary, CERC and soft copy in an excel Sheet By E-mail and through Saudamini portal (E-monitoring) |
| Note : (6) | Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table. |



Form IV -E

Intra - State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : NHPC Limited

Month: May'2023

| Sr. No. | | Total Transacted Volume (MU's) | State |
|---------|-----|--------------------------------|-------|
| | | Short Term Transactions | |
| 1 | NIL | | |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| | | Long Term Transactions | |
| 1 | | | |
| 2 | | | |
| 3 | | P | NIL . |
| 4 | 0 | | * |

Note: (1)

Total Transacted Volume shall be aggregated for all transactions within each state

Note: (2) Name of the parties and the price of these transactions are not required.



Form IV -F

Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee: NHPC LIMITED

Month: May'2023

| | Date of Delivery | Total Scheduled Volume | Purchase | d from | Sold to | |
|---------|------------------|------------------------|-----------------------------|--------|----------------------------|-------|
| Sr. No. | (DD/MM/YYYY) | | Name of Seller / Name of PX | State | Name of Buyer / Name of PX | State |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | NIL | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |

Note: Trading Margin shall be charged for each 15 minuted contract seperately. However, the reporting shall be made on daywise basis inlight of the large volume of data.

Form IV -F Margin:

Margin charged by trading licensees in Day Ahead Power Exchange transactions

| Sr. No. | Name of the | IEX | PXIL |
|---------|-------------|------------------------|------------------------|
| | client | Margin charged (₹/kwh) | Margin charged (₹/kwh) |
| 1 | | | |
| 2 | 5.0 | | a a |
| 3 | | | |
| 4 | | NIL | |
| 5 | | | |
| - 6 | | | |
| 7 | | | |



Form IV - G

Term Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee: NHPC LIMITED

Month: May'2023

| | Name of | Period of Power Delivery | | Time of Power Delivery | | Scheduled | Purchas | ed from | Solo | l to | Transactio | Trading |
|---------|---------------------------------|--------------------------------|------------------------------|------------------------|-----------------------|-----------------|--------------------|--|---|-------|--------------------|-------------------|
| Sr. No. | Power Exchange (IEX/PXIL) | Start Date (DD/MM/YYY Y) | End Date (DD/MM/YYY Y) | Start Time (HH:MM) | Start Time (HH:MM) | Volume (Mus) | Name of the Seller | State | Name of the Buyer | State | n Price (₹/kwh) | Margin (₹/kwh) |
| Α | Intra- Day Co | ntracts | | | | • | the second second | | <u> </u> | | | |
| 1 | | | | | | NIL | | | | -1 | | |
| В | Day- Ahead C | ontingency Co | ntracts | | | | | The state of the s | | h | | |
| 1 | | | - | | | NIL | | | | | | |
| С | Daily Contrac | ts / Any Day co | ontracts | | | | | | *************************************** | | | |
| 1 | | | | | | NIL | | | | | - | |
| D | Weekly Contra | acts | | | | | | | | | | |
| 1 | | | | | | NIL | | | | | | |

Note: Any other Contract on the Power Exchange should be included as and when it introduced.



Form IV - H

Trading of Renewable Energy Certificates (REC) by Trading Licensees

Name of the Trading Licensee : NHPC LIMITED

Month: May'2023

| | Mode (through PX/Bilateral) | Purchase from | | Sol | d to | | | | |
|---------|-----------------------------------|--|--|---|----------------------------------|------------------|---|--|---------|
| Sr. No. | | Name of Seller(Client)/P ower Exchange | | Name of Buyer (Client)/Power Exchange | Client Type/Power Exchange | Volume (RECs) | Market Clearing Price/Sale Price (Rs/REC) | Trading margin Charged (Rs/kWh) | Remarks |
| | | | | | | | | | 9 |



Form IV - I

Details of Over the Counter (OTC) Contracts Executed by Electricity Traders in Inter-State Market

Name of the Trading Licensee : NHPC LIMITED

Month: May'2023

| | Contract Date | Purchase Price | Sale Price | Contracted Quantity | Period of | Contract | Purchased | l from | Sold To | The state of the s |
|---------|------------------|----------------|------------|------------------------|---|---|-----------|--------|---------|--|
| Sr. No. | dd/mm/yyyy | (₹/kwh) | (₹/kwh) | (MU) | Power Schedule Start Date (dd/mm/yyyy) | Power Schedule End Date (dd/mm/yyyy) | Name | State | Name | State |
| Α | | | V | | ROL | JND THE CLOCK | | | | |
| | | | | | | NIL | | 100 | | |
| В | | | | | | PEAK | | | | |
| | | | | | | NIL | | | Y | |
| С | | | | | OTHER | THAN RTC & PI | EAK | | | |
| | | | | | | NIL | | | | |

Note: (1) Data shall be submitted on a monthly basis by 15th of the following month to the Commission.



Form-IV-K

Electricity transacted by Trading Licensees in Real Time Market on Power Exchanges

Name of the Trading Licensee : NHPC LIMITED

Month: May'2023

| | Date of Polices | Total Scheduled | Purchased | From | Solo | d To | |
|---------|----------------------------------|---------------------------------|-------------------------------|-------|------------------------------|-------|---------|
| Sr. No. | Date of Delivery (DD/MM/YYYY) | Volume (MUs) for each client | Name of Seller/ Name of PX | State | Name of Buyer/ Name of PX | State | Remarks |
| | | | NIL | | | | L |

Form-K- Margin

Margin charged by trading licensees for transactions in Real Time Market on Power Exchanges

| | \$ | IEX | PXIL | Remarks |
|---------|--------------------|----------------------------|----------------------------|---------|
| Sr. No. | Name of the Client | Margin charged (Rs/kWh) | Margin charged (Rs/kWh) | |
| | | | NIL | |



Form IV -L

GREEN Term Ahead Power Exchange Transactions of Electricity by Trading Licensees (Day Ahead Contingency)

Name of the Trading Licensee : NHPC Limited

Licence Details (No. & Date): 80/Trading Licence /2018/CERC dated 23.04.2018

Month: May'2023

| | | Period of Po | ower Delivery | Time of Por | wer Delivery | | Purchas | ed from | Sol | d to | | | |
|--------|--|---------------------|----------------------|-----------------------|---------------------|------------------------------|-----------------------|-----------|----------------------|-------|----------------------------------|-------------------------------|---------|
| Sr.No. | Name of Power Exchange | Start Date (DD-MON- | End Date (DD-MON- | Start Time (HH:MM) | End Time (HH:MM) | Scheduled Volume (Mus) | Name of the Seller | State | Name of the Buyer | State | Transaction Price (Rs/Kwh) | Trading Margin (Rs/Kwh) | Remarks |
| Α | | | | | | Int | ra-Day Contrac | cts | | | | | L |
| 1 | | | | | | | | | | | | | |
| 2 | | | | | | | NIL | | | | | | |
| 3 | | | 1)+ | | | | | | | | | | |
| В | | | · | | | Day-Ahea | d Contigency | Contracts | | | | | |
| 1 | | | | | | | | | | | | | |
| 2 | | | | | | | NIL | | | | | | |
| 3 | | | | | | | | | | | | | |
| С | | | | | | | Daily Contracts | i | | | | | |
| 1 | | | | | | | | | | | | | |
| 2 | | | | | | | NIL | | | | | | |
| 3 | THE RESIDENCE OF THE PERSON OF | | | | | | | | | | | | |
| D | | | | | | W | eekly Contract | S | | | | | |
| 1 | | | | | | | | | | 1 | | | |
| 2 | | | | | | | NIL | | | | | | |
| 3 | | | | | | | | | | | | | |



Form - M

Energy Saving Certificates (ESCerts) Trading on Power Exchange by Trading Licensees

Name of Trading Licencee: NHPC Limited

Licence Details: 80/Trading Licence /2018/CERC dated 23.04.2018

Month: F May'2023

| Sr. No. | Name of Client | Sector | Client Type (Seller/Buyer) | Name of Power Exchange | Volume of ESCert | Price Discovered (Rs/ESCert) | Remarks |
|---------|----------------|--------|-------------------------------|---------------------------|------------------|---------------------------------|---------|
| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) |

Nil

Note:

(1) 1 ESCert = 1 MTOE (Metric Tonne of Oil Equivalent)

(2) Sectors shall be from the following: Thermal Power Plant; Cement; Chlor-Alkali; Petroleum Refinery; Fertilizers; Iron & Steel; Textile; Aluminium; Railways; Discoms; Pulp & Paper; Commercial Buildings; Petrochemical.

(3) Data shall be submitted to the Commission on a monthly basis by 15th of the following month

(4) Data shall be submitted to the Commission through hard copy to Secretary, CERC and soft copy in an excel sheet by e-mail and through Saudamini portal (E-monitoring)



Form- N

Green Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of Trading Licencee:

NHPC Limited

Licence Details:

80/Trading Licence /2018/CERC dated 23.04.2018

Month:

May'2023

| WOITH. | | Way 2023 | | Ţ | | | | |
|---------|------------------|---------------------------|-----------------|----------------------------|-------|------------------------------|-------|---------|
| Sr. No. | Date of Delivery | Scheduled Volume under | Name of Seller/ | Purchased From | | Sold To | | Remarks |
| | (DD-MM-YYYY) | GDAM (MUs) | Name of PX | Type (Solar/ Non-Solar) | State | Name of Buyer/ Name of PX | State | |
| (1) | (2) | (3) | (4) | (5) | (6) | (7) | (8) | (9) |

NIL

Note

(1) Data shall be submitted to the Commission on a monthly basis by 15th of the following month

(2) Data shall be submitted to the Commission through hard copy to Secretary, CERC and soft copy in an excel sheet by e-mail and through Saudamini portal (E-monitoring)



Form - N Margin

Margin charged by Trading Licensees for Transactions in Green Day Ahead Market on Power Exchanges

Name of Trading Licencee: NHPC Limited

Licence Details: 80/Trading Licence /2018/CERC dated 23.04.2018

Month: May'2023

| Sr. No. | Name of the Client | IEX | PXIL | |
|---------|--------------------|-------------------------|-------------------------|---------|
| | | Margin charged (Rs/kWh) | Margin charged (Rs/kWh) | Remarks |
| (1) | (2) | (3) | (4) | (5) |

NIL

Note:

(1) Data shall be submitted to the Commission on a monthly basis by 15th of the following month

(2) Data shall be submitted to the Commission through hard copy to Secretary, CERC and soft copy in an excel sheet by e-mail and through

Saudamini portal (E-monitoring)



Form-O

Name of the Trading Licensee : NHPC LIMITED

Month of Trading : May'2023

High Price Day Ahead (HP-DAM) Power Exchange Transactions of Electricity by Trading Licensees

| | Date of | Scheduled | | Purchased From | | Sold 7 | Го | T |
|---------|--------------------------|---------------------------------|----------------------------------|--|-------|---------------------------------|-------|---------|
| Sr. No. | Delivery (DD/MM/YYYY) | Volume under HP-DAM (MUs) | Name of Seller/ Name of PX | Type (Imported Coal/ Gas/ BESS) | State | Name of Buyer/ Name of PX | State | Remarks |
| | | | | | | | | |

Form-O Margin

Margin charged by Trading licensees for transactions in High Price Day Ahead Market on Power Exchanges

| | | IEX | PXIL | HPX | |
|---------|-----------------------|-------------------------------|-------------------------------|-------------------------------|---------|
| Sr. No. | Name of the Client | Margin charged (Rs/kWh) | Margin charged (Rs/kWh) | Margin charged (Rs/kWh) | Remarks |
| | | | | | |

