#### Form IV - A

#### Short -term Inter- State Transactions of Electricity by Trading Licensees (RTC\*)

#### Name of the Trading Licensee: NHPC Limited

### Licence Details (No. & Date): 80/Trading Licence /2018/CERC dated 23.04.2018

Month

: November, 2019

	Period of Power Delivery		Time of Pov	Time of Power Delivery		Purcha	sed from			Sold to		Purchase Price	Sale Price	Trading Margin
Sr. No.	Start Date (DD/MM/YYYY)	End Date (DD/MM/YYYY)		Start Time (HH:MM)	Volume (Mus)	Name of the Seller Category State Name of the Buyer Category State						(₹/kwh)	(₹/kwh)	(₹/kwh)
Α						Inter State Tradi	ng Transaction	าร						
1														
	-													
2														
3						N	IL							
4														
В					Inter State	Trading Transactions throu	gh Swapping o	or Banking Arra	ingement					
1						N	II.							
2														
C						Cross Border	Transactions			· ·				
1														
2	1					N	IL							
3														
				Grand Total										

RTC\*→ k (24 Hours i.e. from 00:00 to 24:00)

Note: (1) Data shall be submitted transaction- wise and should not be aggregated.

Note: (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, trader (when applicable)etc.

Note: (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page: Statutory Information)

Note: (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note: (5) Data shall be submitted to the Commission i.e. hard copy to Secretary CERC and soft copy in an excel Sheet By E-mail

Note: (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.

\* CLIMITES

#### Form IV -B

#### Short -term Inter- State Transactions of Electricity by Trading Licensees (Peak\*)

#### Name of the Trading Licensee: NHPC Limited

Licence Details (No. & Date): 80/Trading Licence /2018/CERC dated 23.04.2018

Month

: November, 2019

	Period of Po	wer Delivery	Time of Pov	wer Delivery	Scheduled Volume	Pu	rchased from	1		Sold to		Purchase Price	Sale Price	Trading Margin
Sr. No.	Start Date (DD/MM/YYYY)	End Date (DD/MM/YYYY)		Start Time (HH:MM)	(Mus)	Name of the Seller	Category	State	Name of the Buyer	Category	State	(₹/kwh)	(₹/kwh)	(₹/kwh)
Α	Inter State Trading	Transactions												
1														
2							NIL							
3														
В	Inter State Trading	Transactions throug	h Swapping o	r Banking Arra	angement									
1														
2							NIL							
3														
С	Cross Border Transa	actions												
1														
2							NIL							
3														

<sup>\*</sup>Peak > Peak Period (Evening Peak from 17:00hrs to 23:00 hrs). Peak period may be revised by the Commission from time to time.

Note: (1) Data shall be submitted transaction-wise and should not be aggregated.

Note: (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, trader (when applicable)etc.

Note: (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page: Statutory Information)

Note: (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note: (5) Data shall be submitted to the Commission i.e. hard copy to Secretary CERC and soft copy in an excel Sheet By E-mail

Note: (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.



#### Form IV -C

#### Short -term Inter- State Transactions of Electricity by Trading Licensees (Other than Peak & RTC)

### Name of the Trading Licensee: NHPC Limited

Licence Details (No. & Date): 80/Trading Licence /2018/CERC dated 23.04.2018

Month : November, 2019

	Period of Po	wer Delivery	er Delivery Time of Power Delivery		Scheduled Volume		Purchased fro	m		Sold to		Purchase Price	Sale Price	
Sr. No.	Start Date (DD/MM/YYYY)	End Date (DD/MM/YYYY)		Start Time (HH:MM)	(Mus)	Name of the Seller	Category	State	Name of the Buyer	Category	State	(₹/kwh)	(₹/kwh)	(₹/kwh)
Α	Inter State Trading	Transactions												
1														
2							NIL							
3														
В	Inter State Trading	Transactions throug	h Swapping o	or Banking Arra	ngement									
1														
2							NIL							
3														
С	Cross Border Transa	actions												
1														
2							NIL							
3														

Note: (1) Data shall be submitted transaction-wise and should not be aggregated.

Note: (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, trader (when applicable)etc.

Note: (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page: Statutory Information)

Note: (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note: (5) Data shall be submitted to the Commission i.e. hard copy to Secretary CERC and soft copy in an excel Sheet By E-mail

Note: (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.

#### Form IV -D

### **Long -term Inter- State Transactions of Electricity by Trading Licensees**

### Name of the Trading Licensee: NHPC Limited

Licence Details (No. & Date): 80/Trading Licence /2018/CERC dated 23.04.2018

Month : November, 2019

	Period of Power Delivery		Scheduled Volume	Purcl	nased from			Sold to		Sale Price
Sr. No.	Start Date (DD/MM/YYYY)	End Date (DD/MM/YYYY)	(Mus)	Name of the Seller	Category	State	Name of the Buyer	Category	State	(₹/kwh)
Α	Inter State Trading Tra	nsactions								
1										
2	1			N	IIL					
3										
В	Inter State Trading Tra	nsactions through Swapp	ing or Banking Arra	angement						
1										
2	1			N	IIL					
3	1				,					
С	Cross Border Transaction	ons								
1										
2				N	IIL					
3										

### \*Peak > Peak Period (Evening Peak from 17:00hrs to 23:00 hrs). Peak period may be revised by the Commission from time to time.

Note: (1) Data shall be submitted transaction- wise and should not be aggregated.

Note: (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, trader (when applicable)etc.

Note: (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page: Statutory Information)

Note: (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note: (5) Data shall be submitted to the Commission i.e. hard copy to Secretary CERC and soft copy in an excel Sheet By E-mail

Note: (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.

## Form IV -E

## **Intra - State Transactions of Electricity by Trading Licensees**

Name of the Trading Licensee:

**NHPC Limited** 

Month:

November, 2019

Sr. No.	Total Transacted Volume (MU's)	State					
	Short Term Transactions						
1							
2	NIL	AIII					
3	The state of the s						
4							
	Long Term Transactions						
1							
2	NIL						
3	INIE						
4							

Note: (1)

Total Transacted Volume shall be aggregated for all transactions within each state

Note: (2)

Name of the parties and the price of these transactions are not required.



Form IV -F

### Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee: NHPC LIMITED

Month : November, 2019

	Data of Dalisson	Total Scheduled	Purchased from	om	Sc	old to
Sr. No.	Date of Delivery (DD/MM/YYYY)	Volume (MU s) for each client	Name of Seller / Name of PX	State	Name of Buyer / Name of PX	State
1	01-Nov-19	9.95382250	IEX	Delhi	PDD J&K	J&K
2	02-Nov-19	11.27658000	IEX	Delhi	PDD J&K	J&K
3	03-Nov-19	10.35620000	IEX	Delhi	PDD J&K	J&K
4	04-Nov-19	9.09375000	IEX	Delhi	PDD J&K	J&K
5	05-Nov-19	9.10625000	IEX	Delhi	PDD J&K	J&K
	06-Nov-19	9.25000000	IEX	Delhi	PDD J&K	J&K
7	07-Nov-19	9.35000000	IEX	Delhi	PDD J&K	J&K
8	08-Nov-19	0.00000000	PDD J&K	J&K	IEX	Delhi
9	09-Nov-19	0.21250000	PDD J&K	J&K	IEX	Delhi
10	10-Nov-19	0.36250000	PDD J&K	J&K	IEX	Delhi
11	11-Nov-19	2.53125000	IEX	Delhi	PDD J&K	J&K
12	12-Nov-19	3.95809500	1EX	Delhi	PDD J&K	J&K
13	13-Nov-19	4.77250250	IEX	Delhi	PDD J&K	J&K
14	14-Nov-19	0.00000000	IEX	Delhi	PDD J&K	J&K
15	15-Nov-19	0.00000000	IEX	Delhi	PDD J&K	J&K
16	16-Nov-19	0.00000000	1EX	Delhi	PDD J&K	J&K
17	17-Nov-19	0.00000000	IEX	Delhi	PDD J&K	J&K
18	18-Nov-19	0.00000000	IEX	Delhi	PDD J&K	J&K
19	19-Nov-19	0.00000000	IEX	Delhi	PDD J&K	J&K
20	20-Nov-19	0.00000000	IEX	Delhi	PDD J&K	J&K
21	21-Nov-19	5.89157500	IEX	Delhi	PDD J&K	J&K
22	22-Nov-19	5.89346000	IEX	Delhi	PDD J&K	J&K
23	23-Nov-19	0.00000000	IEX	Delhi	PDD J&K	J&K
24	24-Nov-19	9.10625000	IEX	Delhi	PDD J&K	J&K
25	25-Nov-19	7.62830000	IEX	Delhi	PDD J&K	J&K
26	26-Nov-19	8.65625000	IEX	Delhi	PDD J&K	J&K
27	27-Nov-19	8.35625000	IEX	Delhi	PDD J&K	J&K
28	28-Nov-19	8.96407750	IEX	Delhi	PDD J&K	J&K
29	29-Nov-19	9.00000000	IEX	Delhi	PDD J&K	J&K
	30-Nov-19	8.96875000	IEX	Delhi	PDD J&K	J&K
30	30-Nov-19	0.01250000	PDD J&K	J&K	IEX	Delhi
	Total	152.7009				

Note: Trading Margin shall be charged for each 15 minuted contract seperately. However, the reporting shall be made on daywise basis inlight of the large volume of data.



Form IV -F

## Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

## Name of the Trading Licensee: NHPC LIMITED

Month : November, 2019

Month		: November, 2019						
		1	EX	Р	XIL			
Sr. No.	Name of the client	Margin charged when MCP is lesser than or equal to ₹ 3/kwh (₹/kwh)	Margin charged when MCP is greater than ₹3/kwh (₹/kwh)	Margin charged when MCP is lesser than or equal to ₹ 3/kwh (₹/kwh)	Margin charged when MCP is greater than ₹3/kwh (₹/kwh)			
1	PDD, J&K	<=0.03	<=.07	NA	NA			
2	PDD, J&K	<=0.03	<=.07	NA	NA			
3	PDD, J&K	<=0.03	<=.07	NA	NA			
4	PDD, J&K	<=0.03	<=.07	NA	NA			
5	PDD, J&K	<=0.03	<=.07	NA	NA			
6	PDD, J&K	<=0.03	<=.07	NA	NA			
7	PDD, J&K	<=0.03	<=.07	NA	NA			
8	PDD, J&K	<=0.03	<=.07	NA	NA			
9	PDD, J&K	<=0.03	<=.07	NA	NA			
10	PDD, J&K	<=0.03	<=.07	NA	NA			
11	PDD, J&K	<=0.03	<=.07	NA	NA			
12	PDD, J&K	<=0.03	<=.07	NA	NA			
13	PDD, J&K	<=0.03	<=.07	NA	NA			
14	PDD, J&K	<=0.03	<=.07	NA	NA NA			
15	PDD, J&K	<=0.03	<=.07	NA	NA *			
16	PDD, J&K	<=0.03	<=.07	NA	NA			
17	PDD, J&K	<=0.03	<=.07	NA	NA			
18	PDD, J&K	<=0.03	<=.07	NA	NA NA			
19	PDD, J&K	<=0.03	<=.07	NA	NA			
20	PDD, J&K	<=0.03	<=.07	NA	NA			
21	PDD, J&K	<=0.03	<=.07	NA	NA			
22	PDD, J&K	<=0.03	<=.07	NA	NA			
23	PDD, J&K	<=0.03	<=.07	NA NA	NA			
24	PDD, J&K	<=0.03	<=.07	NA	NA			
25	PDD, J&K	<=0.03	<=.07	NA	NA			
26	PDD, J&K	<=0.03	<=.07	NA	NA			
27	PDD, J&K	<=0.03	<=.07	NA	NA			
28	PDD, J&K	<=0.03	<=.07	NA	NA			
29	PDD, J&K	<=0.03	<=.07	NA	NA			
30	PDD, J&K	<=0.03	<=.07	NA	NA			



### Form IV - G

## Term Ahead Power Exchange Transactions of Electricity by Trading Licensees

## Name of the Trading Licensee: NHPC LIMITED

Month : November, 2019

IVIOITEII			.,				1					
	Name of Power	Period of Po	wer Delivery	Time of Por	wer Delivery	Scheduled Volume	Purchased	from	Sold	to	Transaction Price	Trading Margin
Sr. No.	Exchange (IEX/PXIL)	Start Date (DD/MM/YYYY)	End Date (DD/MM/YYYY)		Start Time (HH:MM)	(Mus)	Name of the Seller	State	Name of the Buyer	State	(₹/kwh)	(₹/kwh)
Α	Intra- Day Contracts											
1												
2						NIL						
3												
В	Day- Ahead Continge	ency Contracts										
1												
2						NIL						
3												
С	Daily Contracts											
1												
2						NIL						
3												
D	Weekly Contracts											0
1												
2						NIL					4	
3									- S	Roplie	m.	

Note: Any other Contract on the Power Exchange should be included as and when it introduced.

## Form IV - H

# Renewable Energy Certificates (REC) Trading on Power Exchange by Trading Licensees

Name of the Trading Licensee: NHPC LIMITED

Date of Trading: November, 2019

Sr. No.	Name of REC Client	Client Type (Renewable generator / Obligated Entities)	Name of Power Exchange	Volume (REC s)	Market Clearing Price (₹/REC)	Trading Margin (₹/REC)
			Non Solar REC s			
1	44		NIL			
	2		Solar REC s			
2 3			NIL			
4						

Note: 1 REC = 1 MWh

