

**Form IV - A**

**Short-term Inter- State Transactions of Electricity by Trading Licensees (RTC\*)**

**Name of the Trading Licensee: NHPC Limited**

**Licence Details (No. & Date): 80/Trading Licence /2018/CERC dated 23.04.2018**

**Month**

**: October, 2019**

Sr. No.	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (Mus)	Purchased from			Sold to			Purchase Price (₹/kwh)	Sale Price (₹/kwh)	Trading Margin (₹/kwh)
	Start Date (DD/MM/YYYY)	End Date (DD/MM/YYYY)	Start Time (HH:MM)	Start Time (HH:MM)		Name of the Seller	Category	State	Name of the Buyer	Category	State			
A	Inter State Trading Transactions													
1	NIL													
2														
3														
4														
B	Inter State Trading Transactions through Swapping or Banking Arrangement													
1	NIL													
2														
C	Cross Border Transactions													
1	NIL													
2														
3														
				Grand Total										

RTC\*→ k (24 Hours i.e. from 00:00 to 24:00)

Data shall be submitted transaction- wise and should not be aggregated.

Note : (1)  
Note : (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, trader (when applicable)etc.

Note : (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page : Statutory Information)  
Note : (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.  
Note : (5) Data shall be submitted to the Commission i.e. hard copy to Secretary CERC and soft copy in an excel Sheet By E-mail  
Note : (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.



## Form IV -B

Short-term Inter- State Transactions of Electricity by Trading Licensees (Peak\*)Name of the Trading Licensee:NHPC LimitedLicence Details (No. & Date) : 80/Trading Licence /2018/CERC dated 23.04.2018Month : October, 2019

Sr. No.	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (Mus)	Purchased from			Sold to			Purchase Price (₹/kwh)	Sale Price (₹/kwh)	Trading Margin (₹/kwh)
	Start Date (DD/MM/YYYY)	End Date (DD/MM/YYYY)	Start Time (HH:MM)	Start Time (HH:MM)		Name of the Seller	Category	State	Name of the Buyer	Category	State			
A	Inter State Trading Transactions													
1	01.10.2019	31.10.2019	0:00:00	7:00:00	42.37	Adani Power (Mundra) Ltd	IPP	Gujrat	UPPCL	Discom	U.P.	4.57	4.60	0.03
2	01.10.2019	31.10.2019	17:00:00	24:00:00	42.20	Adani Power (Mundra) Ltd	IPP	Gujrat	UPPCL	Discom	U.P.	5.97	6.00	0.03
B	Inter State Trading Transactions through Swapping or Banking Arrangement													
1	NIL													
2														
3														
C	Cross Border Transactions													
1	NIL													
2														
3														

\*Peak → Peak Period (Evening Peak from 17:00hrs to 23:00 hrs). Peak period may be revised by the Commission from time to time.

Note : (1) Data shall be submitted transaction- wise and should not be aggregated.

Note : (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, trader (when applicable) etc.

Note : (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page : Statutory Information)

Note : (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note : (5) Data shall be submitted to the Commission i.e. hard copy to Secretary CERC and soft copy in an excel Sheet By E-mail

Note : (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.



## Form IV -C

**Short-term Inter- State Transactions of Electricity by Trading Licensees (Other than Peak & RTC)****Name of the Trading Licensee:** NHPC Limited**Licence Details (No. & Date) :** 80/Trading Licence /2018/CERC dated 23.04.2018**Month** : **October, 2019**

Month : October, 2020

Sr. No.	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (Mus)	Purchased from			Sold to			Purchase Price (₹/kwh)	Sale Price (₹/kwh)	Trading Margin (₹/kwh)
	Start Date (DD/MM/YYYY)	End Date (DD/MM/YYYY)	Start Time (HH:MM)	Start Time (HH:MM)		Name of the Seller	Category	State	Name of the Buyer	Category	State			
A	Inter State Trading Transactions													
1	NIL													
2														
3														
B	Inter State Trading Transactions through Swapping or Banking Arrangement													
1	NIL													
2														
3														
C	Cross Border Transactions													
1	NIL													
2														
3														

Note : (1) Data shall be submitted transaction- wise and should not be aggregated.

Note : (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, trader (when applicable)etc.

Note : (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page : Statutory Information)

Note : (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note : (5) Data shall be submitted to the Commission i.e. hard copy to Secretary CERC and soft copy in an excel Sheet By E-mail

Note : (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.



## Form IV -D

Long -term Inter- State Transactions of Electricity by Trading LicenseesName of the Trading Licensee:NHPC LimitedLicence Details (No. & Date) : 80/Trading Licence /2018/CERC dated 23.04.2018Month : October, 2019

Month : October, 2023

Sr. No.	Period of Power Delivery		Scheduled Volume (Mus)	Purchased from			Sold to			Sale Price (₹/kwh)
	Start Date (DD/MM/YYYY)	End Date (DD/MM/YYYY)		Name of the Seller	Category	State	Name of the Buyer	Category	State	
A	Inter State Trading Transactions									
1	NIL									
2										
3										
B	Inter State Trading Transactions through Swapping or Banking Arrangement									
1	NIL									
2										
3										
C	Cross Border Transactions									
1	NIL									
2										
3										

\*Peak → Peak Period (Evening Peak from 17:00hrs to 23:00 hrs). Peak period may be revised by the Commission from time to time.

Note : (1) Data shall be submitted transaction- wise and should not be aggregated.

Note : (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, trader (when applicable)etc.

Note : (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page : Statutory Information)

Note : (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note : (5) Data shall be submitted to the Commission i.e. hard copy to Secretary CERC and soft copy in an excel Sheet By E-mail

Note : (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.



Form IV -E

Intra - State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee:

NHPC Limited

Month :

October, 2019

Sr. No.	Total Transacted Volume (MU's)	State
Short Term Transactions		
1	NIL	
2		
3		
4		
Long Term Transactions		
1	NIL	
2		
3		
4		

Note : (1)

Total Transacted Volume shall be aggregated for all transactions within each state

Note : (2)

Name of the parties and the price of these transactions are not required.





Day Ahead Power Exchange Transactions of Electricity by Trading LicenseesName of the Trading Licensee: **NHPC LIMITED**Month : **October, 2019**

Sr. No.	Date of Delivery (DD/MM/YYYY)	Total Scheduled Volume (MU s) for each client	Purchased from		Sold to	
			Name of Seller / Name of PX	State	Name of Buyer / Name of PX	State
1	01-Oct-19	2.36	PDD J&K	J&K	IEX	Delhi
2	02-Oct-19	0.00	PDD J&K	J&K	IEX	Delhi
3	03-Oct-19	1.64	PDD J&K	J&K	IEX	Delhi
4	04-Oct-19	0.15	PDD J&K	J&K	IEX	Delhi
5	05-Oct-19	0.15	PDD J&K	J&K	IEX	Delhi
6	06-Oct-19	0.07	IEX	Delhi	PDD J&K	J&K
		0.49	PDD J&K	J&K	IEX	Delhi
7	07-Oct-19	0.00	IEX	Delhi	PDD J&K	J&K
8	08-Oct-19	0.29	PDD J&K	J&K	IEX	Delhi
9	09-Oct-19	0.00	IEX	Delhi	PDD J&K	J&K
10	10-Oct-19	0.00	IEX	Delhi	PDD J&K	J&K
11	11-Oct-19	0.70	IEX	Delhi	PDD J&K	J&K
12	12-Oct-19	0.96	IEX	Delhi	PDD J&K	J&K
13	13-Oct-19	1.94	IEX	Delhi	PDD J&K	J&K
14	14-Oct-19	1.72	IEX	Delhi	PDD J&K	J&K
15	15-Oct-19	3.09	IEX	Delhi	PDD J&K	J&K
16	16-Oct-19	2.91	IEX	Delhi	PDD J&K	J&K
17	17-Oct-19	3.92	IEX	Delhi	PDD J&K	J&K
18	18-Oct-19	3.60	IEX	Delhi	PDD J&K	J&K
19	19-Oct-19	3.41	IEX	Delhi	PDD J&K	J&K
20	20-Oct-19	3.51	IEX	Delhi	PDD J&K	J&K
21	21-Oct-19	4.01	IEX	Delhi	PDD J&K	J&K
22	22-Oct-19	4.90	IEX	Delhi	PDD J&K	J&K
23	23-Oct-19	6.55	IEX	Delhi	PDD J&K	J&K
24	24-Oct-19	6.55	IEX	Delhi	PDD J&K	J&K
25	25-Oct-19	8.76	IEX	Delhi	PDD J&K	J&K
26	26-Oct-19	10.09	IEX	Delhi	PDD J&K	J&K
27	27-Oct-19	11.40	IEX	Delhi	PDD J&K	J&K
28	28-Oct-19	11.40	IEX	Delhi	PDD J&K	J&K
29	29-Oct-19	11.40	IEX	Delhi	PDD J&K	J&K
30	30-Oct-19	11.40	IEX	Delhi	PDD J&K	J&K
31	31-Oct-19	10.77	IEX	Delhi	PDD J&K	J&K
Total		128.16				

Note : Trading Margin shall be charged for each 15 minuted contract separately. However, the reporting shall be made on daywise basis in light of the large volume of data.



Form IV -F  
Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee: NHPC LIMITED

Month : October, 2019

Sr. No.	Name of the client	IEX		PXIL	
		Margin charged when MCP is lesser than or equal to ₹ 3/kwh (₹/kwh)	Margin charged when MCP is greater than ₹3/kwh (₹/kwh)	Margin charged when MCP is lesser than or equal to ₹ 3/kwh (₹/kwh)	Margin charged when MCP is greater than ₹3/kwh (₹/kwh)
1	PDD, J&K	<=0.03	<=0.07	NA	NA
2	PDD, J&K	<=0.03	<=0.07	NA	NA
3	PDD, J&K	<=0.03	<=0.07	NA	NA
4	PDD, J&K	<=0.03	<=0.07	NA	NA
5	PDD, J&K	<=0.03	<=0.07	NA	NA
6	PDD, J&K	<=0.03	<=0.07	NA	NA
7	PDD, J&K	<=0.03	<=0.07	NA	NA
8	PDD, J&K	<=0.03	<=0.07	NA	NA
9	PDD, J&K	<=0.03	<=0.07	NA	NA
10	PDD, J&K	<=0.03	<=0.07	NA	NA
11	PDD, J&K	<=0.03	<=0.07	NA	NA
12	PDD, J&K	<=0.03	<=0.07	NA	NA
13	PDD, J&K	<=0.03	<=0.07	NA	NA
14	PDD, J&K	<=0.03	<=0.07	NA	NA
15	PDD, J&K	<=0.03	<=0.07	NA	NA
16	PDD, J&K	<=0.03	<=0.07	NA	NA
17	PDD, J&K	<=0.03	<=0.07	NA	NA
18	PDD, J&K	<=0.03	<=0.07	NA	NA
19	PDD, J&K	<=0.03	<=0.07	NA	NA
20	PDD, J&K	<=0.03	<=0.07	NA	NA
21	PDD, J&K	<=0.03	<=0.07	NA	NA
22	PDD, J&K	<=0.03	<=0.07	NA	NA
23	PDD, J&K	<=0.03	<=0.07	NA	NA
24	PDD, J&K	<=0.03	<=0.07	NA	NA
25	PDD, J&K	<=0.03	<=0.07	NA	NA
26	PDD, J&K	<=0.03	<=0.07	NA	NA
27	PDD, J&K	<=0.03	<=0.07	NA	NA
28	PDD, J&K	<=0.03	<=0.07	NA	NA
29	PDD, J&K	<=0.03	<=0.07	NA	NA
30	PDD, J&K	<=0.03	<=0.07	NA	NA
31	PDD, J&K	<=0.03	<=0.07	NA	NA



## Form IV - G

Term Ahead Power Exchange Transactions of Electricity by Trading LicenseesName of the Trading Licensee: NHPC LIMITEDMonth : October, 2019

Month : October, 2019

Sr. No.	Name of Power Exchange (IEX/PXIL)	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (Mus)	Purchased from		Sold to		Transaction Price (₹/kwh)	Trading Margin (₹/kwh)
		Start Date (DD/MM/YYYY)	End Date (DD/MM/YYYY)	Start Time (HH:MM)	Start Time (HH:MM)		Name of the Seller	State	Name of the Buyer	State		
A	Intra- Day Contracts											
1	NIL											
2												
3												
B	Day- Ahead Contingency Contracts											
1	NIL											
2												
3												
C	Daily Contracts											
1	NIL											
2												
3												
D	Weekly Contracts											
1	NIL											
2												
3												

Signature

Note : Any other Contract on the Power Exchange should be included as and when it introduced.





**Form IV - H**

**Renewable Energy Certificates (REC) Trading on Power Exchange by Trading Licensees**

**Name of the Trading Licensee: NHPC LIMITED**

**Date of Trading : October, 2019**

Sr. No.	Name of REC Client	Client Type (Renewable generator / Obligated Entities)	Name of Power Exchange	Volume (REC s)	Market Clearing Price (₹/REC)	Trading Margin (₹/REC)
Non Solar REC s						
1	NIL					
Solar REC s						
1	NIL					
2						
3						
4						

**Note : 1 REC = 1 MWh**

