Form IV - A

Short -term Inter- State Transactions of Electricity by Trading Licensees (RTC*)

Name of the Trading Licensee: NHPC Limited

Licence Details (No. & Date): 80/Trading Licence /2018/CERC dated 23.04.2018

Month

: June, 2019

| Period of Power Delivery Time of Power Deliver | | | | Volume | | | | | Sold to | | Purchase Price | Sale Price | Margin |
|--|--------------------------|--|------------|---------------|---|--|--|--|---|--|--|--|--|
| Start Date (DD/MM/YYYY) | End Date (DD/MM/YYYY) | | | (Mus) | Name of the Seller | Category | State | Name of the Buyer | Category | State | (₹/kwh) | (₹/kwh) | (₹/kwh) |
| | | | | | Inter State Tradi | ng Transactio | ns | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | a NI | L | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | Inter State 1 | Trading Transactions throu | gh Swapping (| or Banking Arra | ngement | | | | | |
| | | | | | NI | L | | | | | | | |
| | | | | | Cross Border | Transactions | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | NI | L | | | | | | | |
| | | | Cond Total | | | | | T | | | i - | | |
| | | | | | DD/MM/YYYY) (DD/MM/YYYY) (HH:MM) (HH:MM) Inter State 1 | Inter State Trading Transactions through the State Tradin | Inter State Trading Transaction Inter State Trading Transaction Inter State Trading Transactions through Swapping NIL Cross Border Transactions NIL | Inter State Trading Transactions Inter State Trading Transactions Inter State Trading Transactions Inter State Trading Transactions through Swapping or Banking Arra NIL Cross Border Transactions NIL | Manage of the Seller Category State the Buyer | Category Commonweight Commonwe | Mus Name of the Seller Category State the Buyer State State Table State St | Manual Control of Manual Con | State Category Category State Category Cat |

RTC*→ ((24 Hours i.e. from 00:00 to 24:00)

Note: (1) Data shall be submitted transaction- wise and should not be aggregated.

Note: (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, trader (when applicable)etc.

Note: (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page: Statutory Information)

Note: (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note: (5) Data shall be submitted to the Commission i.e. hard copy to Secretary CERC and soft copy in an excel Sheet By E-mail

Note: (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.



Form IV-B

Short -term Inter- State Transactions of Electricity by Trading Licensees (Peak*)

Name of the Trading Licensee:NHPC Limited

Licence Details (No. & Date): 80/Trading Licence /2018/CERC dated 23.04.2018

Month : June, 2019

| | Period of Po | wer Delivery | Time of Pov | wer Delivery | Scheduled Volume | Pui | rchased fron | 1 | | Sold to | | Purchase Price | Sale Price | Trading Margin |
|---------|----------------------------|--------------------------|--------------|-----------------------|---------------------|-----------------------|--------------|-------|----------------------|----------|-------|-------------------|------------|-------------------|
| Sr. No. | Start Date (DD/MM/YYYY) | End Date (DD/MM/YYYY) | | Start Time (HH:MM) | (Mus) | Name of the Seller | Category | State | Name of the Buyer | Category | State | (₹/kwh) | (₹/kwh) | (₹/kwh) |
| Α | Inter State Trading | Transactions | | | | | | | | | | | | |
| 1 | | | | | | | | | | | | | | |
| 2 | 1 | | | | | | NIL | | | | | | | |
| 3 |] | | | | | | | | | | | | | |
| В | Inter State Trading | Transactions throug | h Swapping o | r Banking Arra | ngement | | | | | | | | | |
| 1 | | | | | | | | | | | | | | |
| 2 | | | | | | | NIL | | | | | | | |
| 3 | | | | | | 8 | | | | | | | | |
| С | Cross Border Transa | actions | | | | | | | | | | | | |
| 1 | | | | | | | | | | | | | | |
| 2 | | | | | | | NIL | | | | | | | |
| 3 | | | | | | | | | | | | | | |

- *Peak \rightarrow Peak Period (Evening Peak from 17:00hrs to 23:00 hrs). Peak period may be revised by the Commission from time to time.
- Note: (1) Data shall be submitted transaction- wise and should not be aggregated.
- Note: (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, trader (when applicable)etc.
- Note: (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page: Statutory Information)
- Note: (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.
- Note: (5) Data shall be submitted to the Commission i.e. hard copy to Secretary CERC and soft copy in an excel Sheet By E-mail
- Note: (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.



Form IV -C

Short -term Inter- State Transactions of Electricity by Trading Licensees (Other than Peak & RTC)

Name of the Trading Licensee: NHPC Limited

Licence Details (No. & Date): 80/Trading Licence /2018/CERC dated 23.04.2018

Month : June, 2019

| MOULT | Period of Po | wer Delivery | | wer Delivery | | 1 | Purchased fro | om | | Sold to | | Purchase Price | Sale Price | Trading Margin |
|---------|----------------------------|--------------------------|-----------------------|-----------------------|-----------------|-----------------------|---------------|-------|----------------------|----------|-------|-------------------|------------|-------------------|
| Sr. No. | Start Date (DD/MM/YYYY) | End Date (DD/MM/YYYY) | Start Time (HH:MM) | Start Time (HH:MM) | Volume (Mus) | Name of the Seller | Category | State | Name of the Buyer | Category | State | (₹/kwh) | (₹/kwh) | (₹/kwh) |
| Α | Inter State Trading | | | | | | | | | | | | | |
| 1 | | | | | | | | | | | | | | |
| 2 | | | | | | | NIL | | | | | | | |
| 3 | | | | | | | | | | | | | | |
| В | Inter State Trading | Transactions through | h Swapping o | r Banking Arra | ngement | | | | | | | | | |
| 1 | | | | | | | | | | | | | | |
| 2 | | | | | | | NIL | | | | | | | |
| 3 | | | | | | | | | | | | | | |
| С | Cross Border Transa | actions | | | | | | | | | | | | |
| 1 | | | | | | | | | | | | | | |
| 2 | | | | | | | NIL | | | | | | | |
| 3 | | | | | | | | | | | | | | |

Note: (1) Data shall be submitted transaction- wise and should not be aggregated.

Note: (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, trader (when applicable)etc.

Note: (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page: Statutory Information)

Note: (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note: (5) Data shall be submitted to the Commission i.e. hard copy to Secretary CERC and soft copy in an excel Sheet By E-mail

Note: (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.



Form IV -D

Long -term Inter- State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee: NHPC Limited

Licence Details (No. & Date): 80/Trading Licence /2018/CERC dated 23.04.2018

Month : June, 2019

| | Period of Po | ower Delivery | Scheduled Volume | Purch | ased from | | | Sold to | | Sale Price | | |
|---------|----------------------------|--------------------------|---------------------|--------------------|-----------|-------|----------------------|----------|-------|------------|--|--|
| Sr. No. | Start Date (DD/MM/YYYY) | End Date (DD/MM/YYYY) | (Mus) | Name of the Seller | Category | State | Name of the Buyer | Category | State | (₹/kwh) | | |
| Α | Inter State Trading Tran | nsactions | | | | | | | | | | |
| 1 | | | | | | | | | | | | |
| 2 | 1 | NIL | | | | | | | | | | |
| 3 | | | | | | | | | | | | |
| В | Inter State Trading Tran | sactions through Swappi | ing or Banking Arra | ingement | | | | | | | | |
| 1 | | | | | | | | | | | | |
| 2 | | | | N | IL | | | | | | | |
| 3 | | | | | | | | | | | | |
| С | Cross Border Transaction | ons | | | | | | | | | | |
| 1 | | | | | | | | | | | | |
| 2 | 1 | | | N | IL | | | | | | | |
| 3 | 1 | | | | | | | | | | | |

- *Peak > Peak Period (Evening Peak from 17:00hrs to 23:00 hrs). Peak period may be revised by the Commission from time to time.
- Note: (1) Data shall be submitted transaction- wise and should not be aggregated.
- Note: (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, trader (when applicable)etc.
- Note: (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page: Statutory Information)
- Note: (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.
- Note: (5) Data shall be submitted to the Commission i.e. hard copy to Secretary CERC and soft copy in an excel Sheet By E-mail
- Note: (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.

Form IV -E

Intra - State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee:

NHPC Limited

Month:

June, 2019

| Sr. No. | Total Transacted Volume (MU's) | State |
|---------|--------------------------------|-------|
| | Short Term Transact | tions |
| 1 | | |
| 2 | | NIL |
| 3 | | IVIL |
| 4 | | |
| | Long Term Transact | ions |
| 1 | | |
| 2 | | NIL |
| 3 | | IVIL |
| 4 | | |

Note: (1)

Total Transacted Volume shall be aggregated for all transactions within each state

Note: (2)

Name of the parties and the price of these transactions are not required.



Form IV -F

Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee: NHPC LIMITED

Month : June, 2019

| - | | Total Scheduled | Purchased | from | Sold to | | | |
|---------|-------------------------------|-------------------------------|--------------------------------|----------------|-------------------------------|-------|--|--|
| Sr. No. | Date of Delivery (DD/MM/YYYY) | Volume (MU s) for each client | Name of Seller / Name of PX | State | Name of Buyer / Name of PX | State | | |
| 1 | 02.06.2019 | 0.10625 | IEX | Not applicable | PDD J&K | J&K | | |
| 2 | 03.06.2019 | 0.04375 | IEX | Not applicable | PDD J&K | J&K | | |
| 3 | 04.06,2019 | 0.29115 | IEX | Not applicable | PDD J&K | J&K | | |
| 4 | 05.06.2019 | 0.00151 | IEX | Not applicable | PDD J&K | J&K | | |
| 5 | 14.06.2019 | 0.01875 | IEX | Not applicable | PDD J&K | J&K | | |
| 6 | 16.06.2019 | 0.10625 | IEX | Not applicable | PDD J&K | J&K | | |
| | Total | 0.56766 | | | | | | |

Note: Trading Margin shall be charged for each 15 minuted contract seperately. However, the reporting shall be made on daywise basis inlight of the large volume of data.

| | | I. | EX | PXIL | | | |
|---------|--------------------|---|--|--|---|--|--|
| Sr. No. | Name of the client | Margin charged when MCP is lesser than or equal to ₹ 3/kwh (₹/kwh) | Margin charged when MCP is greater than ₹3/kwh (₹/kwh) | Margin charged when MCP is lesser than or equal to ₹ 3/kwh (₹/kwh) | Margin charged when MCP is greater than ₹3/kwh (₹/kwh) | | |
| 1 | PDD, J&K | <=0.03 | <=.07 | NA | NA | | |
| 2 | PDD, J&K | <=0.03 | <=.07 | NA | NA | | |
| 3 | PDD, J&K | <=0.03 | <=.07 | NA | NA | | |
| 4 | PDD, J&K | <=0.03 | <=.07 | NA | NA | | |
| 5 | PDD, J&K | <=0.03 | <=.07 | NA | NA | | |
| 6 | PDD, J&K | <=0.03 | <=.07 | NA | NA | | |



Form IV - G

Term Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee: NHPC LIMITED

Month : June, 2019

| MOIICH | | . Julie, E | | | | | | | | | | |
|---------|------------------------|----------------------------|--------------------------|------------|-----------------------|---------------------|-----------------------|-------|----------------------|-------|----------------------|-------------------|
| G- N- | Name of Power | Period of Po | wer Delivery | Time of Po | wer Delivery | Scheduled Volume | Purchased | from | Sold | to | Transaction Price | Trading Margin |
| Sr. No. | Exchange (IEX/PXIL) | Start Date (DD/MM/YYYY) | End Date (DD/MM/YYYY) | | Start Time (HH:MM) | (Mus) | Name of the Seller | State | Name of the Buyer | State | (₹/kwh) | (₹/kwh) |
| Α | Intra- Day Contracts | | | | | | | | | | | |
| 1 | | | | | | | | | | | | |
| 2 | | | | | | NIL | | | | | | |
| 3 | | | | | | | | | | | | |
| В | Day- Ahead Continge | ency Contracts | | | | | | | | | • | |
| 1 | | | | | | | | | | | | |
| 2 | | | | | | NIL | | | | | | |
| 3 | | | | | | | | | | | | |
| С | Daily Contracts | | | | | | | | | | | |
| 1 | | | | | | | | | | | | |
| 2 | | | | | | NIL | | | | | | |
| 3 | | | | | | | | | | | | |
| D | Weekly Contracts | | | | | | | | | | | |
| 1 | | | | | | | | | | | | |
| 2 | | | | | | NIL | | | | | | |
| 3 | | | | | | | | | | | 0.00 | |

Note: Any other Contract on the Power Exchange should be included as and when it introduced.



Form IV - H

Renewable Energy Certificates (REC) Trading on Power Exchange by Trading Licensees

Name of the Trading Licensee: NHPC LIMITED

Date of Trading: June, 2019

| | rading 1 saire, E | | | | ~ | | | | | |
|---------|-----------------------|--|---------------------------|-------------------|----------------------------------|---------------------------|--|--|--|--|
| Sr. No. | Name of REC Client | Client Type (Renewable generator / Obligated Entities) | Name of Power Exchange | Volume (REC s) | Market Clearing Price (₹/REC) | Trading Margin (₹/REC) | | | | |
| | | | Non Solar REC s | | | | | | | |
| 1 | NIL | | | | | | | | | |
| | | | Solar REC s | | | | | | | |
| 1 | | | | | | | | | | |
| 2 | | | NIL | | | | | | | |
| 3 | | | | | | | | | | |
| 4 | | | | | | | | | | |

Note: 1 REC = 1 MWh

