

Form IV - A

Short-term Inter- State Transactions of Electricity by Trading Licensees (RTC*)**Name of the Trading Licensee : NHPC Limited****Licence Details (No. & Date) : 80/Trading Licence /2018/CERC dated 23.04.2018****Month : May, 2020**

| Sr. No. | Period of Power Delivery | | Time of Power Delivery | | Scheduled Volume (MUs) | Purchased from | | | Sold to | | | Purchase Price (₹/kwh) | Sale Price (₹/kwh) | Trading Margin (₹/kwh) |
|---------|--|-----------------------|------------------------|--------------------|------------------------|--|----------|----------------|-------------------|----------|----------------|------------------------|--------------------|------------------------|
| | Start Date (DD/MM/YYYY) | End Date (DD/MM/YYYY) | Start Time (HH:MM) | Start Time (HH:MM) | | Name of the Seller | Category | State | Name of the Buyer | Category | State | | | |
| A | Inter State Trading Transactions | | | | | | | | | | | | | |
| 1 | 01.05.2020 | 31.05.2020 | 00:00:00 | 24:00:00 | 69.75 | M/s. Raipur Energen Limited (REL), Chhattisgarh (formerly known as GMR Chhattisgarh Energy Ltd.) | IPP | Gujrat | APPCC | Discom | Andhra Pradesh | 4.01 | 4.05 | 0.04 |
| 2 | 01.05.2020 | 31.05.2020 | 00:00:00 | 24:00:00 | 48.77 | M/s. Jaypee Nigrie Super Thermal Power Plant | IPP | Madhya Pradesh | APPCC | Discom | Andhra Pradesh | 4.01 | 4.05 | 0.04 |
| B | Inter State Trading Transactions through Swapping or Banking Arrangement | | | | | | | | | | | | | |
| 1 | NIL | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | |
| C | Cross Border Transactions | | | | | | | | | | | | | |
| 1 | NIL | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | |
| | | | | Grand Total | | | | | | | | | | |

RTC*→ Round the clock (24 Hours i.e. from 00:00 to 24:00)

Note : (1) Data shall be submitted transaction-wise and should not be aggregated.

Note : (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, Commercial open access consumer, trader (when applicable) etc.

Note : (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page : Statutory Information)

Note : (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note : (5) Data shall be submitted to the Commission i.e. hard copy to Secretary, CERC and soft copy in an excel Sheet By E-mail and through Saudamini portal (E-monitoring)

Note : (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.



Form IV -B

Short -term Inter- State Transactions of Electricity by Trading Licensees (Peak*)

Name of the Trading Licensee: NHPC Limited

Licence Details (No. & Date) : 80/Trading Licence /2018/CERC dated 23.04.2018

Month : May, 2020

| Sr. No. | Period of Power Delivery | | Time of Power Delivery | | Scheduled Volume (Mus) | Purchased from | | | Sold to | | | Purchase Price (₹/kwh) | Sale Price (₹/kwh) | Trading Margin (₹/kwh) |
|---------|--|-----------------------|------------------------|--------------------|------------------------|--|----------|----------------|-------------------|----------|---------------|------------------------|--------------------|------------------------|
| | Start Date (DD/MM/YYYY) | End Date (DD/MM/YYYY) | Start Time (HH:MM) | Start Time (HH:MM) | | Name of the Seller | Category | State | Name of the Buyer | Category | State | | | |
| A | Inter State Trading Transactions | | | | | | | | | | | | | |
| 1 | 01.05.2020 | 31.05.2020 | 19:00 | 24:00:00 | 28.41 | M/s. Jaypee Nigrie Super Thermal Power Plant | IPP | Madhya Pradesh | UPPCL | Discom | Uttar Pradesh | 3.97 | 4.00 | 0.03 |
| B | Inter State Trading Transactions through Swapping or Banking Arrangement | | | | | | | | | | | | | |
| 1 | NIL | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | |
| C | Cross Border Transactions | | | | | | | | | | | | | |
| 1 | NIL | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | |

*Peak → Peak Period (Evening Peak from 17:00hrs to 23:00 hrs). Peak period may be revised by the Commission from time to time.

Note : (1) Data shall be submitted transaction- wise and should not be aggregated.

Note : (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, Commercial open access consumer, trader (when applicable)etc.

Note : (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page : Statutory Information)

Note : (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note : (5) Data shall be submitted to the Commission i.e. hard copy to Secretary, CERC and soft copy in an excel Sheet By E-mail and through Saudamini portal (E-monitoring)

Note : (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.



Form IV -C

Short -term Inter- State Transactions of Electricity by Trading Licensees (Other than Peak & RTC)Name of the Trading Licensee : NHPC LimitedLicence Details (No. & Date) : 80/Trading Licence /2018/CERC dated 23.04.2018Month : May, 2020

| Sr. No. | Period of Power Delivery | | Time of Power Delivery | | Scheduled Volume (Mus) | Purchased from | | | Sold to | | | Purchase Price (₹/kwh) | Sale Price (₹/kwh) | Trading Margin (₹/kwh) |
|---------|--|-----------------------|------------------------|--------------------|------------------------|--------------------|----------|-------|-------------------|----------|-------|------------------------|--------------------|------------------------|
| | Start Date (DD/MM/YYYY) | End Date (DD/MM/YYYY) | Start Time (HH:MM) | Start Time (HH:MM) | | Name of the Seller | Category | State | Name of the Buyer | Category | State | | | |
| A | Inter State Trading Transactions | | | | | | | | | | | | | |
| 1 | NIL | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | |
| B | Inter State Trading Transactions through Swapping or Banking Arrangement | | | | | | | | | | | | | |
| 1 | NIL | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | |
| C | Cross Border Transactions | | | | | | | | | | | | | |
| 1 | NIL | | | | | | | | | | | | | |
| 2 | | | | | | | | | | | | | | |
| 3 | | | | | | | | | | | | | | |

Note : (1) Data shall be submitted transaction- wise and should not be aggregated.

Note : (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, Commercial open access consumer, trader (when applicable) etc.

Note : (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page : Statutory Information)

Note : (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note : (5) Data shall be submitted to the Commission i.e. hard copy to Secretary, CERC and soft copy in an excel Sheet By E-mail and through Saudamini portal (E-monitoring)

Note : (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.



Form IV -D

Long -term Inter- State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : NHPC Limited

Licence Details (No. & Date) : 80/Trading Licence /2018/CERC dated 23.04.2018

Month : May, 2020

| Sr. No. | Period of Power Delivery | | Scheduled Volume (Mus) | Purchased from | | | Sold to | | | Sale Price (₹/kwh) |
|---------|--|-----------------------|------------------------|--------------------|----------|-------|-------------------|----------|-------|--------------------|
| | Start Date (DD/MM/YYYY) | End Date (DD/MM/YYYY) | | Name of the Seller | Category | State | Name of the Buyer | Category | State | |
| A | Inter State Trading Transactions | | | | | | | | | |
| 1 | NIL | | | | | | | | | |
| 2 | | | | | | | | | | |
| 3 | | | | | | | | | | |
| B | Inter State Trading Transactions through Swapping or Banking Arrangement | | | | | | | | | |
| 1 | NIL | | | | | | | | | |
| 2 | | | | | | | | | | |
| 3 | | | | | | | | | | |
| C | Cross Border Transactions | | | | | | | | | |
| 1 | NIL | | | | | | | | | |
| 2 | | | | | | | | | | |
| 3 | | | | | | | | | | |

Note : (1) Data shall be submitted transaction- wise and should not be aggregated.

Note : (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, Commercial open access consumer, trader (when applicable) etc.

Note : (3) Data shall be posted on the website of the electricity trader with link on the home page as Statutory Information

Note : (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note : (5) Data shall be submitted to the Commission i.e. hard copy to Secretary, CERC and soft copy in an excel Sheet By E-mail and through Saudamini portal (E-monitoring)

Note : (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.



Form IV -E

Intra - State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : NHPC Limited

Month : May, 2020

| Sr. No. | Total Transacted Volume (MU's) | State |
|-------------------------|--------------------------------|-------|
| Short Term Transactions | | |
| 1 | NIL | |
| 2 | | |
| 3 | | |
| 4 | | |
| Long Term Transactions | | |
| 1 | NIL | |
| 2 | | |
| 3 | | |
| 4 | | |

Note : (1) Total Transacted Volume shall be aggregated for all transactions within each state

Note : (2) Name of the parties and the price of these transactions are not required.



Form IV -F

Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee: NHPC LIMITED

Month : May, 2020

| Sr. No. | Date of Delivery (DD/MM/YYYY) | Total Scheduled Volume (MU s) for each client | Purchased from | | Sold to | |
|---------|----------------------------------|--|-----------------------------|-------|----------------------------|-------|
| | | | Name of Seller / Name of PX | State | Name of Buyer / Name of PX | State |
| 1 | NIL | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |

Note : Trading Margin shall be charged for each 15 minuted contract seperately. However, the reporting shall be made on daywise basis inlight of the large volume of data.

Form IV -F Margin:

Margin charged by trading licensees in Day Ahead Power Exchange transactions

| Sr. No. | Name of the client | IEX | PXIL |
|---------|--------------------|------------------------|------------------------|
| | | Margin charged (₹/kwh) | Margin charged (₹/kwh) |
| 1 | NIL | | |
| 2 | | | |
| 3 | | | |
| 4 | | | |
| 5 | | | |
| 6 | | | |
| 7 | | | |



Form IV - G

Term Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee: NHPC LIMITED

Month : May, 2020

| Sr. No. | Name of Power Exchange (IEX/PXIL) | Period of Power Delivery | | Time of Power Delivery | | Scheduled Volume (Mus) | Purchased from | Sold to | Transaction Price (₹/kwh) | Trading Margin (₹/kwh) |
|---------|-------------------------------------|--------------------------|-----------------------|------------------------|--------------------|------------------------|-------------------------|------------------------|---------------------------|------------------------|
| | | Start Date (DD/MM/YYYY) | End Date (DD/MM/YYYY) | Start Time (HH:MM) | Start Time (HH:MM) | | Name of the SellerState | Name of the BuyerState | | |
| A | Intra- Day Contracts | | | | | | | | | |
| 1 | NIL | | | | | | | | | |
| 2 | | | | | | | | | | |
| 3 | | | | | | | | | | |
| B | Day- Ahead Contingency Contracts | | | | | | | | | |
| 1 | NIL | | | | | | | | | |
| 2 | | | | | | | | | | |
| 3 | | | | | | | | | | |
| C | Daily Contracts / Any Day contracts | | | | | | | | | |
| 1 | NIL | | | | | | | | | |
| 2 | | | | | | | | | | |
| 3 | | | | | | | | | | |
| D | Weekly Contracts | | | | | | | | | |
| 1 | NIL | | | | | | | | | |
| 2 | | | | | | | | | | |
| 3 | | | | | | | | | | |

Patil

Note : Any other Contract on the Power Exchange should be included as and when it introduced.



Form IV - H

Renewable Energy Certificates (REC) Trading on Power Exchange by Trading Licensees

Name of the Trading Licensee : NHPC LIMITED

Date of Trading : May, 2020

| Sr. No. | Name of REC Client | Client Type (Renewable generator / Obligated Entities) | Name of Power Exchange | Volume (REC s) | Market Clearing Price (₹/REC) | Trading Margin (₹/REC) |
|-----------------|--------------------|--|------------------------|----------------|-------------------------------|------------------------|
| Non Solar REC s | | | | | | |
| 1 | NIL | | | | | |
| Solar REC s | | | | | | |
| 1 | NIL | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |

Note : 1 REC = 1 MWh



Form IV - I

Details of Over the Counter (OTC) Contracts Executed by Electricity Traders in Inter-State Market

Name of the Trading Licensee : NHPC LIMITED

Date of Trading : May, 2020

| Sr. No. | Contract Date | Purchase Price | Sale Price | Contracted Quantity | Period of Contract | | Purchased from | | Sold To | |
|---------|-----------------------|----------------|------------|---------------------|--|--------------------------------------|--|----------------|--|---------------|
| | dd/mm/yyyy | (₹/kwh) | (₹/kwh) | (MU) | Power Schedule Start Date (dd/mm/yyyy) | Power Schedule End Date (dd/mm/yyyy) | Name | State | Name | State |
| A | ROUND THE CLOCK | | | | | | | | | |
| 1 | 19-05-2020 | 2.90 | 2.93 | 78.12 | 10/06/2020 | 30/06/2020 | Jaypee Nigrie Super Thermal Power Plant (JNSTPP) | Madhya Pradesh | Punjab State Power Corporation Limited | Punjab |
| B | PEAK | | | | | | | | | |
| 1 | 27-05-2020 | 3.37 | 3.40 | 12 | 01/06/2020 | 30/06/2020 | Adani Power Limited, Mundra | Gujarat | U.P. Power Corporation Limited | Uttar Pradesh |
| C | OTHER THAN RTC & PEAK | | | | | | | | | |
| 1 | NIL | | | | | | | | | |
| 2 | | | | | | | | | | |

Note : (1) Data shall be submitted on a monthly basis by 15th of the following month to the Commission.

