

## Form IV - A

## Short -term Inter- State Transactions of Electricity by Trading Licensees (RTC\*)

Name of the Trading Licensee : NHPC Limited

Licence Details (No. &amp; Date) : 80/Trading Licence /2018/CERC dated 23.04.2018

Month : October, 2021

Sr. No.	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (MUs)	Purchased from			Sold to			Purchase Price (₹/kwh)	Sale Price (₹/kwh)	Trading Margin (₹/kwh)
	Start Date (DD/MM/YYYY)	End Date (DD/MM/YYYY)	Start Time (HH:MM)	End Time (HH:MM)		Name of the Seller	Category	State	Name of the Buyer	Category	State			
A	Inter State Trading Transactions													
1	NIL													
B	Inter State Trading Transactions through Swapping or Banking Arrangement													
1	NIL													
2														
3														
C	Cross Border Transactions													
1	NIL													
2														
3														
				Grand Total										

RTC\*→ Round the clock (24 Hours i.e. from 00:00 to 24:00)

Note : (1) Data shall be submitted transaction- wise and should not be aggregated.

Note : (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, Commercial open access consumer, trader (when applicable)etc.

Note : (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page : Statutory Information)

Note : (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note : (5) Data shall be submitted to the Commission i.e. hard copy to Secretary, CERC and soft copy in an excel Sheet By E-mail and through Saudamini portal (E-monitoring)

Note : (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.



## Form IV -B

**Short -term Inter- State Transactions of Electricity by Trading Licensees (Peak\*)****Name of the Trading Licensee:** NHPC Limited**Licence Details (No. & Date) :** 80/Trading Licence /2018/CERC dated 23.04.2018**Month** : October, 2021

Sr. No.	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (MUs)	Purchased from			Sold to			Purchase Price (₹/kwh)	Sale Price (₹/kwh)	Trading Margin (₹/kwh)
	Start Date (DD/MM/YYYY)	End Date (DD/MM/YYYY)	Start Time (HH:MM)	End Time (HH:MM)		Name of the Seller	Category	State	Name of the Buyer	Category	State			
A	Inter State Trading Transactions													
1	NIL													
2														
3														
B	Inter State Trading Transactions through Swapping or Banking Arrangement													
1	NIL													
2														
3														
C	Cross Border Transactions													
1	NIL													
2														
3														

\*Peak → Peak Period (Evening Peak from 17:00hrs to 23:00 hrs). Peak period may be revised by the Commission from time to time.

Note : (1) Data shall be submitted transaction- wise and should not be aggregated.

Note : (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, Commercial open access consumer, trader (when applicable)etc.

Note : (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page : Statutory Information)

Note : (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note : (5) Data shall be submitted to the Commission i.e. hard copy to Secretary, CERC and soft copy in an excel Sheet By E-mail and through Saudamini portal (E-monitoring)

Note : (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.



## Form IV -C

Short-term Inter- State Transactions of Electricity by Trading Licensees (Other than Peak & RTC)Name of the Trading Licensee : NHPC LimitedLicence Details (No. & Date) : 80/Trading Licence /2018/CERC dated 23.04.2018Month : October, 2021

Sr. No.	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (MUs)	Purchased from			Sold to			Purchase Price (₹/kwh)	Sale Price (₹/kwh)	Trading Margin (₹/kwh)
	Start Date (DD/MM/YYYY)	End Date (DD/MM/YYYY)	Start Time (HH:MM)	End Time (HH:MM)		Name of the Seller	Category	State	Name of the Buyer	Category	State			
A	Inter State Trading Transactions													
1	NIL													
2														
3														
B	Inter State Trading Transactions through Swapping or Banking Arrangement													
1	NIL													
2														
3														
C	Cross Border Transactions													
1	NIL													
2														
3														

Note : (1) Data shall be submitted transaction- wise and should not be aggregated.

Note : (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, Commercial open access consumer, trader (when applicable) etc.

Note : (3) Data shall be posted on the website of the electricity trader or any other authorised website (Name of the link on the home page : Statutory Information)

Note : (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note : (5) Data shall be submitted to the Commission i.e. hard copy to Secretary, CERC and soft copy in an excel Sheet By E-mail and through Saudamini portal (E-monitoring)

Note : (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.



## Form IV -D

Long -term Inter- State Transactions of Electricity by Trading Licensees

Name of the Trading Licensee : NHPC Limited

Licence Details (No. &amp; Date) : 80/Trading Licence /2018/CERC dated 23.04.2018

Month : October, 2021

Sr. No.	Period of Power Delivery		Scheduled Volume (Mus)	Purchased from			Sold to			Sale Price (₹/kwh)
	Start Date (DD/MM/YYYY)	End Date (DD/MM/YYYY)		Name of the Seller	Category	State	Name of the Buyer	Category	State	
A	Inter State Trading Transactions									
1	NIL									
2										
3										
B	Inter State Trading Transactions through Swapping or Banking Arrangement									
1	NIL									
2										
3										
C	Cross Border Transactions									
1	NIL									
2										
3										

Note : (1) Data shall be submitted transaction- wise and should not be aggregated.

Note : (2) Indicate the names and the category of the seller/ buyer namely generator, captive power plant, distribution licensee, Government, industrial open access consumer, Commercial open access consumer, trader (when applicable) etc.

Note : (3) Data shall be posted on the website of the electricity trader with link on the home page as Statutory Information

Note : (4) Data shall be submitted on a monthly basis by 15th of the following month to the Commission, Regional Load Dispatch Centre and Regional Power Committee.

Note : (5) Data shall be submitted to the Commission i.e. hard copy to Secretary, CERC and soft copy in an excel Sheet By E-mail and through Saudamini portal (E-monitoring)

Note : (6) Full form of abbreviations used for Name of the seller/ Buyer etc. shall be provided at the end of the Table.



**Form IV -E**

**Intra - State Transactions of Electricity by Trading Licensees**

**Name of the Trading Licensee : NHPC Limited**

**Month : October, 2021**

Sr. No.	Total Transacted Volume (MU's)	State
Short Term Transactions		
1	NIL	
2		
3		
4		
Long Term Transactions		
1	NIL	
2		
3		
4		

Note : (1) Total Transacted Volume shall be aggregated for all transactions within each state

Note : (2) Name of the parties and the price of these transactions are not required.



## Form IV -F

## Day Ahead Power Exchange Transactions of Electricity by Trading Licensees

Name of the Trading Licensee: NHPC LIMITED

Month : October, 2021

Sr. No.	Date of Delivery (DD/MM/YYYY)	Total Scheduled Volume (MU s) for each client	Purchased from		Sold to	
			Name of Seller / Name of PX	State	Name of Buyer / Name of PX	State
1	NIL					
2						
3						
4						
5						
6						
7						

Note : Trading Margin shall be charged for each 15 minuted contract seperately. However, the reporting shall be made on daywise basis inlight of the large volume of data.

## Form IV -F Margin:

## Margin charged by trading licensees in Day Ahead Power Exchange transactions

Sr. No.	Name of the client	IEX	PXIL
		Margin charged (₹/kwh)	Margin charged (₹/kwh)
1	NIL		
2			
3			
4			
5			
6			
7			



*Signature*

## Form IV - G

Term Ahead Power Exchange Transactions of Electricity by Trading LicenseesName of the Trading Licensee: **NHPC LIMITED**Month : **October, 2021**

Sr. No.	Name of Power Exchange (IEX/PXIL)	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (Mus)	Purchased from		Sold to		Transaction Price (₹/kwh)	Trading Margin (₹/kwh)
		Start Date (DD/MM/YYYY)	End Date (DD/MM/YYYY)	Start Time (HH:MM)	Start Time (HH:MM)		Name of the Seller	State	Name of the Buyer	State		
A	Intra- Day Contracts											
1	NIL											
2												
3												
B	Day- Ahead Contingency Contracts											
1	NIL											
2												
3												
C	Daily Contracts / Any Day contracts											
1	NIL											
2												
3												
D	Weekly Contracts											
1	NIL											
2												
3												

Note : Any other Contract on the Power Exchange should be included as and when it introduced.



**Form IV - H****Renewable Energy Certificates (REC) Trading on Power Exchange by Trading Licensees****Name of the Trading Licensee : NHPC LIMITED****Date of Trading : October, 2021**

Sr. No.	Name of REC Client	Client Type (Renewable generator / Obligated Entities)	Name of Power Exchange	Volume (REC s)	Market Clearing Price (₹/REC)	Trading Margin (₹/REC)
Non Solar REC s						
1	NIL					
Solar REC s						
1	NIL					
2						
3						
4						

**Note : 1 REC = 1 MWh**



## Form IV - I

Details of Over the Counter (OTC) Contracts Executed by Electricity Traders in Inter-State Market

Name of the Trading Licensee : NHPC LIMITED

Date of Trading : October, 2021

Sr. No.	Contract Date	Purchase Price	Sale Price	Contracted Quantity	Period of Contract		Purchased from		Sold To	
	dd/mm/yyyy	(₹/kwh)	(₹/kwh)	(MU)	Power Schedule Start Date (dd/mm/yyyy)	Power Schedule End Date (dd/mm/yyyy)	Name	State	Name	State
A	ROUND THE CLOCK									
	NIL									
B	PEAK									
	NIL									
C	OTHER THAN RTC & PEAK									
1	NIL									
2										

Note : (1) Data shall be submitted on a monthly basis by 15th of the following month to the Commission.



## Form-IV-K

## Electricity transacted by Trading Licensees in Real Time Market on Power Exchanges

Name of the Trading Licensee : NHPC LIMITED

Date of Trading : October, 2021

Sr. No.	Date of Delivery (DD/MM/YYYY)	Total Scheduled Volume (MUs) for each client	Purchased From		Sold To		Remarks
			Name of Seller/ Name of PX	State	Name of Buyer/ Name of PX	State	
			NIL				

## Form-K- Margin

## Margin charged by trading licensees for transactions in Real Time Market on Power Exchanges

Sr. No.	Name of the Client	IEX	PXIL	Remarks			
		Margin charged (Rs/kWh)	Margin charged (Rs/kWh)				
		NIL					



**GREEN Term Ahead Power Exchange Transactions of Electricity by Trading Licensees ( Day Ahead Contingency)****Name of the Trading Licensee : NHPC Limited****Licence Details (No. & Date) : 80/Trading Licence /2018/CERC dated 23.04.2018****Month : October, 2021**

Sr.No.	Name of Power Exchange	Period of Power Delivery		Time of Power Delivery		Scheduled Volume (Mus)	Purchased from		Sold to		Transaction Price (Rs/Kwh)	Trading Margin (Rs/Kwh)	Remarks
		Start Date (DD-MON-YYYY)	End Date (DD-MON-YYYY)	Start Time (HH:MM)	End Time (HH:MM)		Name of the Seller	State	Name of the Buyer	State			
A	Intra-Day Contracts												
1	NIL												
2													
3													
B	Day-Ahead Contingency Contracts												
1	NIL												
2													
3													
C	Daily Contracts												
1	NIL												
2													
3													
D	Weekly Contracts												
1	NIL												
2													
3													

